



City of Phoenix  
2018-19 Annual Action Plan  
Phoenix, Arizona

## **Executive Summary**

### **AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)**

#### **1. Introduction**

The 2018-2019 Annual Action Plan is the specific one year plan for the use of U.S. Department of Housing and Urban Development (HUD) formula grant funds. The formula grant programs included in the Consolidated Plan include the Community Development Block Grant (CDBG), HOME Investment Partnerships Program (HOME), Emergency Solutions Grant (ESG) and Housing Opportunities for Persons with AIDS (HOPWA) programs.

The one year action plan is based upon the priority needs defined in the Consolidated Plan strategy section and the available resources. It will guide the allocation of resources and other investment decisions along with the City's projected performance goals in the coming year. The strategy establishes the general priorities for assisting lower-income Phoenix residents and neighborhoods.

#### **2. Summarize the objectives and outcomes identified in the Plan**

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

The general housing priorities are as follows:

- Provide home ownership opportunities to first-time buyers, particularly for moderate-income families with children.
- Provide quality, affordable rental housing opportunities to low and moderate income households primarily through the acquisition and rehabilitation of existing properties.
- Provide assisted rental housing opportunities (rental subsidies) to low-income elderly, families, homeless persons and other persons with special needs.
- Promote supportive services and facilities for frail elderly, disabled persons, low-income families (renters), or other persons with special needs.

- Promote and participate in a regional continuum of care system that will effectively transition persons who are homeless to appropriate permanent housing settings.
- Provide housing rehabilitation assistance benefiting low and moderate-income persons, whether owners or renters, and focusing on selected neighborhoods undergoing revitalization. The City's community development goal is to focus its resources to comprehensively revitalize its distressed neighborhoods. Available resources are used to rehabilitate affordable rental and owner-occupied housing; to develop vacant land for residential use; demolish buildings that are not suitable for rehabilitation; increase the percentage of owner-occupied housing; create more jobs; coordinate services; increase capacity of neighborhood-based organizations to effectively address their needs; and carry out other tasks relative to improving neighborhoods. By helping to strengthen existing neighborhoods and preserve their affordable housing, the City intends to do its part to improve the living environment of its citizens while providing an adequate supply of affordable housing in safe, decent and sanitary condition.

### **3. Evaluation of past performance**

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

During the most recently completed grant year (2017-18), the City of Phoenix was awarded \$14,700,565 of CDBG; \$4,126,614 of HOME funds; \$2,099,910 of HOPWA; and \$1,306,973 of ESG funds. During this past program year, the City spent approximately \$22 million of these HUD resources.

In terms of accomplishments, CDBG and HOME funds were used to provide rehabilitation for 160 low- and moderate-income homeowners; 181 affordable rental housing units were completed; 10,148 homeless persons were provided services; and 15 new jobs were created. In addition, various public facility and improvement projects were completed including building revitalization projects, domestic violence shelters, food banks, youth service and homeless facilities were renovated.

The Human Services and Housing Departments partnered to enter into contracts which linked Outreach and Re-Housing services with housing vouchers. The partnership provides permanent supportive housing for target populations: chronically homeless individuals, families and youth and is intended to enhance the likelihood of a homeless person remaining housed in a stable environment. The Housing Department committed Housing Choice Vouchers (HCV) and HOME Tenant Based Rental Assistance (TBRA) to provide the housing component combined with the service dollars from Human Services Department for outreach and re-housing “wrap-around” services. Through this process, projects uniquely tailored for the target populations that will be served were chosen.

### **4. Summary of Citizen Participation Process and consultation process**

Summary from citizen participation section of plan.

The designated lead agency responsible for the preparation of the 2018-2019 Annual Action Plan is the City of Phoenix Neighborhood Services Department. The draft was jointly developed by an interdepartmental team of staff from Neighborhood Services, Housing and Human Services departments.

Two public hearings were held at the Washington Activity Center, 2202 W. Citrus Way, on August 30, 2017 to solicit comments on community needs and resources needed for inclusion in the 2018-19 Annual Action Plan. Advertisements were placed in the Arizona Republic, Nextdoor, Twitter, Facebook and through emails, seeking comment and inviting representatives to attend our public hearing.

A 30-day public comment period for public review of the City of Phoenix FY 2018-19 Annual Action Plan began on April 30, 2018 and extended through May 31, 2018. A public open house on the draft Plan was held on May 9, 2018.

Public hearing dates and comment periods were published in the Arizona Republic Newspaper, Nextdoor, Twitter, Facebook and announcement flyers and email announcements were distributed to various neighborhood associations, non-profit organizations, and interested residents. The Phoenix City Council approved the City of Phoenix FY 2008-19 Annual Action Plan on June 6, 2018.

## **5. Summary of public comments**

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

Twelve stakeholders or residents attended and provided testimony on the need for services for the special needs homeless, down payment assistance needs for low-income homebuyers, accessible housing solutions, support services for persons with mental illness, small business assistance/expansion, and additional funds for owner-occupied housing rehabilitation.

Public comment: Need funding for services for prevention programming for at-risk youth who have parents who are incarcerated. Prevention activities could include housing referrals, after-school tutoring and other supportive services.

Public comment: Need to address accessibility in all programs – housing, public services and public facilities. All housing activities should be 100% accessible for persons with disabilities; increase funding available for converting housing units to accessible.

Please see attached comments recorded at the public hearings as well as emailed comments.

## **6. Summary of comments or views not accepted and the reasons for not accepting them**

The comments received and views expressed by the attendees at the public hearing and throughout the comment period were relevant. Where the request for additional funding did not align with the Consolidated Plan or the federal guidelines staff provided feedback and resources to help.

## **7. Summary**

The comments received during the public comment period were supportive of the Consolidated Plan and the activities outlined in the Annual Action plan.

**PR-05 Lead & Responsible Agencies – 91.200(b)**

**1. Agency/entity responsible for preparing/administering the Consolidated Plan**

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator	PHOENIX	COP Neighborhood Services Department
HOPWA Administrator	PHOENIX	COP Housing Department
HOME Administrator	PHOENIX	COP Housing Department
ESG Administrator	PHOENIX	COP Human Services Department

**Table 1 – Responsible Agencies**

**Narrative (optional)**

**Consolidated Plan Public Contact Information**

Spencer J Self, Deputy Neighborhood Services Director, 602-262-7423 (email: [spencer.self@phoenix.gov](mailto:spencer.self@phoenix.gov)).

## **AP-10 Consultation – 91.100, 91.200(b), 91.215(I)**

### **1. Introduction**

In the coming year, the City will remain focused on serving vulnerable individuals and families with children experiencing homelessness through collaboration with other funders and partnerships with essential public and private systems of care including health and behavioral health care; public safety; and education and employment providers. The City will continue to lead efforts to end veteran homelessness and address the unique needs of unaccompanied and LGBTQ youth who are homeless through participation in targeted initiatives and support to community-based providers.

### **Provide a concise summary of the jurisdiction’s activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I))**

Through strategic collaborations between multiple city departments including Housing, Human Services, Public Safety, and Neighborhood Services; County and State government; the Regional Behavioral Health Authority; and non-profit and charitable organizations, the city has developed a diverse portfolio of supportive housing interventions for the most vulnerable individuals and families including those who are chronically homeless, veterans, unaccompanied youth and LGBTQ youth.

The City of Phoenix Housing and Human Services departments collaborated to partner with MMIC to provide up to 275 chronically homeless individuals and families with General Mental Health and Substance Abuse concerns with permanent supportive housing. In February 2015, the Phoenix City Council created a preference to prioritize approximately 275 Housing Choice Vouchers to chronically homeless individual and families, and in doing so mandated they be accompanied by wrap-around support services. To ensure the provision of quality navigation and housing case based services, the city approached MMIC about utilizing Medicaid for both services. The result was the first multi-agency, multi-disciplinary collaboration of its kind to provide chronically homeless individuals and families’ permanent supportive housing supported through the behavioral health system. Currently, MMIC sub-contracts with Community Bridges Inc., and La Frontera-EMPACT to provide navigation, housing based case management, and behavioral health services to individuals and families housed through the project. The Valley of the Sun United Way also plays a critical role in this partnership by providing unrestricted funds to the service providers to cover costs not supported by Medicaid. The City of Phoenix utilizes Community Action Program funds to assist with rent and utility deposits at move-in.

The Phoenix Mayor and City Council have boldly identified human trafficking as a citywide priority and embarked on an aggressive plan to make Phoenix a national leader in combatting human trafficking. The city of Phoenix Human Trafficking Task Force (Task Force), established in 2013, is exploring innovative

solutions to address the issue and assist survivors. In 2014, the Task Force released the Compass Plan which identifies five campaign strategies and a work plan to make Phoenix a national leader in the war against human trafficking. Using the Compass Plan as a roadmap, the Task Force has made significant progress in raising community awareness; influencing public policy; increasing law enforcement resources; and increasing resources and access to services for victims. The establishment of Phoenix Starfish Place, a new supportive housing program for survivors of sex trafficking, is the most recent and aggressive initiative of the Task Force. Phoenix Starfish Place will provide permanent supportive housing for fifteen households of victims of sex trafficking. Eligible households will be referred through the City of Phoenix Family Advocacy Center or its designee and approved for housing by the city of Phoenix Housing Department and Property Management Company. Residents will receive a Project Based Section 8 Housing Choice Voucher (PBV) to subsidize the monthly rental fee, contributing no more than thirty percent of their income towards rent. Two full-time case managers will provide trauma informed case management and support services on-site to resident households. Additional services coordinated by Arizona State University and provided by a variety of community-based provider agencies will also be available to resident households on-site.

**Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.**

The City of Phoenix has been an active member of the Maricopa County Continuum of Care since its inception. As a member of the Governing Board and active participant in CoC committees, workgroups, and activities, the city plays a leadership role in the implementation of the HEARTH Act and efforts to end homelessness across the region. The City has historically participated in the annual ranking and review process to evaluate and prioritize the mix and level of services supported by the CoC, and works in coordination to fill critical gaps and enhance accessibility to appropriate housing and service interventions.

Currently the city is combining outreach; housing stabilization; and public and assisted housing resources to support programs which move chronically homeless individuals and families, veterans, unaccompanied youth, and LGBTQ youth from the street to housing as quickly as possible. The city also supports emergency shelter for single individuals and families with children; and crisis services for homeless individuals experiencing a psychiatric and/or chemically induced emergency.

The city is also a participant of Built for Zero (formerly Zero: 2016), a rigorous national change campaign working to help a core group of committed communities end veteran and chronic homelessness. Coordinated by Community Solutions, the national effort supports participants in developing real time data on homelessness, optimizing local housing resources, tracking progress against monthly goals, and accelerating the spread of proven strategies.



The City of Phoenix has partnered with our local Continuum of Care to end Veteran and Chronic homelessness in our community. In collaboration with the City of Mesa, Veterans Administration, Community Bridges Inc., United Methodist Outreach Ministries, U.S. Vets, Lodestar Day Resource Center, Community Information and Referral, the Continuum of Care, and other community stakeholders, we have achieved several Federal benchmarks. In 2017, the City of Phoenix and Maricopa County Ending Veteran Homeless Work Group passed all score cards and Federal criteria in their goal of ending Veteran homelessness. The City of Phoenix recognized a very important need in the effort to end Veteran homelessness and our partnership with the City of Mesa, enabled us to fund Veteran specific navigation services. The funding coordinates the by name list and outreach services, navigates, and houses our Veterans identified on our list. With this resource our City is going to effectively house our homeless Veterans and end Veteran homelessness in our community.

**Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS**

The City of Phoenix holds two positions on the Maricopa County Regional Continuum of Care (CoC) Governing Board, one of which represents ESG formula recipients, and actively participates in committees, workgroups and activities of the CoC. The City is also an active member of the Maricopa Regional ESG Collaborative, a workgroup of the CoC formed to develop regional strategies and practices for the comprehensive implementation of ESG eligible services across Maricopa County. The Collaborative is working to develop coordinated scopes of work for ESG funded activities, an ESG specific HMIS report; and joint monitoring procedures to reduce the administrative burden on provider agencies and assist funders in assessing need, targeting resources and evaluating outcomes across the region. The City of Phoenix also collaborated with the Maricopa Association of Governments Human Services Planner to participate in the Request for Proposal process in the selection of ESG and CDBG funded contractors.

The Maricopa County Continuum of Care Community Best Practices (formerly Standards of Excellence) are a set of performance and quality standards for each of the Continuum's primary engagement/housing interventions (street outreach, emergency shelter, transitional housing, rapid re-housing, permanent supportive housing), developed in partnership by the population specific work groups (Street Outreach Collaborative, Standing Strong for Families, HEART, Permanent Housing Workgroup) and approved by the CoC Governing Board. The Best Practices promote a common language and understanding of program components and expectations, and subsequently a framework for evaluating program efficacy and determining level of need.

**2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities**

**Table 2 – Agencies, groups, organizations who participated**

1	<b>Agency/Group/Organization</b>	Maricopa Association of Governments
	<b>Agency/Group/Organization Type</b>	Regional organization
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The City also worked closely with Maricopa County and the State of Arizona Departments of Housing and Economic Security to provide rapid rehousing to approximately 250 homeless individuals and families in need of shorter term support. Both the Phoenix and Maricopa County Industrial Development Authorities contributed one million dollars to this effort, and the Valley of the Sun United Way provided \$500,000. The Valley of the Sun, which administers the funds, contracted with three provider agencies - UMOM New Day Center, Mercy House, and a New Leaf. The Maricopa County Community Action Agency coordinates rent and utility deposit assistance for these projects. Recently, the Arizona Department of Housing contributed an additional one million dollars to provide rapid rehousing services to an additional 100 participants. This level of coordination between public and assisted housing providers and private and governmental health, mental health, and service agencies is truly unprecedented in the City of Phoenix and Maricopa region. Community discussion related to formalizing coordination between the various systems to create sustainable solutions which are immediately accessible is underway as a result of these efforts.

**Identify any Agency Types not consulted and provide rationale for not consulting**

**Other local/regional/state/federal planning efforts considered when preparing the Plan**

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care		

**Table 3 – Other local / regional / federal planning efforts**

**Narrative (optional)**

The City also works closely with Maricopa County and the State of Arizona Departments of Housing and Economic Security to provide rapid rehousing to approximately 250 homeless individuals and families in need of shorter term support. Both the Phoenix and Maricopa County Industrial Development Authorities contributed one million dollars to this effort, and the Valley of the Sun United Way provided \$500,000. The Valley of the Sun, which administers the funds contracted with three provider agencies – UMOM New Day Center, Mercy House, and a New Leaf. The Maricopa County Community Action Agency coordinates rent and utility deposit assistance for these projects. Recently, the Arizona Department of Housing contributed an additional one million dollars to provide rapid rehousing services to an additional 100 participants. This level of coordination between public and assisted housing providers and private and governmental health, mental health, and service agencies is truly unprecedented in the City of Phoenix and Maricopa region. Community discussion related to formalizing coordination between the various systems to create sustainable solutions which are immediately accessible is underway as a result of these efforts.

## **AP-12 Participation – 91.105, 91.200(c)**

### **1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting**

The City of Phoenix conducted public outreach on August 30, 2017 to solicit public input on the 2018-19 Annual Action Plan. An advertisement was placed in the Arizona Republic and a hearing was held on August 30, 2017. Staff attended neighborhood association meetings to provide a programmatic overview and solicit additional comments.

Additional public input was sought when the Annual Action plan was in draft form. A 30-day comment period kicked off with an advertisement in the Arizona Republic and through social media outlets such as Facebook, Twitter, and Nextdoor. A public hearing will be held on May 9, 2018.

The Phoenix City Council Sustainability, Housing, Efficiency, and Neighborhoods or (SHEN) Subcommittee publically approved the document on May 15, 2018 and the full City Council for approval of the 2018-19 Annual Action Plan submission on June 6, 2018.

**Citizen Participation Outreach**

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Public Hearing	Minorities Non-English Speaking - Specify other language: Spanish Persons with disabilities Non-targeted/broad community Residents of Public and Assisted Housing	On August 30, 2017, two public meetings were held with representatives from Neighborhood Services, Housing, Human Services, and Equal Opportunity departments. Twelve people attended the meeting including residents and non-profit representatives.	Affordable housing, Housing Counseling, Public Service programs for youth, seniors, Homeless programs	NA	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
2	Internet Outreach	Non-targeted/broad community	Two emails were received in response to the public hearing advertisement	Crime prevention activities. Funding for ADA accessibility/modifications	NA	
3	Newspaper Ad	<p>Minorities</p> <p>Non-English Speaking - Specify other language: Spanish</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Residents of Public and Assisted Housing</p>	The City of Phoenix advertised the 2018-19 Annual Action Plan in the Arizona Republic. The ad included the details of public hearing.	No comments were received through the advertisement		

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
4	Internet Outreach	Non-targeted/broad community				<a href="https://www.phoenix.gov/nsd/reports">https://www.phoenix.gov/nsd/reports</a>

**Table 4 – Citizen Participation Outreach**

## Expected Resources

### AP-15 Expected Resources – 91.220(c)(1,2)

#### Introduction

The federal entitlement and assistance programs listed below provide financial support to meet the needs of very-low, low- and moderate income Phoenix residents. The funding supports the goals as outlined in the 2015-2020 Consolidated Plan.

#### Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	16,402,823	500,000	0	16,902,823	16,402,823	Funds are available from the 2017-18 allocation as well prior year's allocations.



Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	5,867,196	1,102,392	0	6,969,588	5,867,196	Funds are available from the 2017-18 allocation as well prior year's allocations.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOPWA	public - federal	Permanent housing in facilities Permanent housing placement Short term or transitional housing facilities STRMU Supportive services TBRA	2,378,741	0	0	2,378,741	2,378,741	Funds are available from the 2017-18 allocation as well prior year's allocations.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
ESG	public - federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	1,323,382	0	838,159	2,161,541	1,323,382	Funds are available from the 2017-18 allocation as well prior year's allocations.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
LIHTC	public - state	Acquisition Multifamily rental new construction Multifamily rental rehab Permanent housing placement	56,234,762	0	0	56,234,762	27,000,000	LIHTC resources are available from the State of Arizona for the production of affordable, rental housing. The amount shown is the estimated State of Arizona annual allocation of credits. Project located within the City of Phoenix may secure annual allocation of State LIHTC resources based on the quality, location and quantity of application submitted to the State of Arizona in any given year.
Other	private	Homebuyer assistance	780,000	0	0	780,000	0	First Mortgages from private banks and lenders in conjunction with Open Doors Homeownership Assistance program.
Other	private	Housing	1,825,000	0	0	1,825,000	1,825,000	Affordable Housing Rental Income
Other	public - federal	Admin and Planning Housing	8,926,280	0	0	8,926,280	8,926,280	Public housing operating funds committed to fund all city public housing projects. Resource availability will vary.
Other	public - federal	Multifamily rental new construction	1,310,222	0	0	1,310,222	900,000	

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
Other	public - federal	Permanent housing in facilities Short term or transitional housing facilities STRMU Supportive services TBRA Transitional housing	1,507,501	0	0	1,507,501	1,507,501	Funds from a previous year's HOPWA allocation will be used in the grant year to support ongoing programs.
Other	public - local	Housing	4,635,000	0	0	4,635,000	4,635,000	Income from public housing rent payments.

**Table 5 - Expected Resources – Priority Table**

**Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied**

To maximize the effectiveness of formula grant funding, the City of Phoenix leverages program funding through forming working partnerships with various nonprofit organizations, private lending institutions, and other federal programs.

HOME will be matched with funding from the General Obligation Bond Program and qualified match funding contributed by awarded projects as required by the City's Underwriting Guidelines.

ESG funds are matched dollar for dollar with the City of Phoenix General Purpose and Community Development Block Grant funds.

The city encourages the participation of private enterprise and nonprofit entities in providing affordable and assisted housing. This is accomplished by leveraging funds made available through the National Affordable Housing Act, CDBG funds and the HOME program. The city will also continue to leverage funds through its General Obligation Bond Program, when available, to implement comprehensive revitalization strategies in neighborhoods.

The State of Arizona participates in the provision of affordable housing in Phoenix in a variety of ways. These include offering funding to applicants from the HOME Program, the Housing Trust Fund, the Homeless Trust Fund, and by administering the distribution of tax-exempt development bonds and tax credit allocations. State agencies also provide funds to Phoenix for various social service programs. These and other planned affordable housing activities that the State is involved in will be discussed in their Consolidated Plan document. However, the City of Phoenix will continue to encourage the State, when appropriate, to become more significantly involved in meeting the demand for affordable housing. The City will explore and encourage ways for the State to provide tax incentives, such as tax credits and property tax waivers, for lands and structures which provide affordable housing.

**If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan**

The city has properties set aside for public and private partnership in direct support of activities such as the CDBG Slum and Blight Acquisition, Infill Program. This program will allow new construction of single family homes on vacant lots within residential neighborhoods located in neighborhood initiative and redevelopment areas. In addition the city will provide CDBG funds for homebuyer assistance to low and moderate income homebuyers.

**Discussion**

The City of Phoenix utilizes partnerships to leverage additional financial support to provide more beneficial programming and increase the number of individuals served.

## Annual Goals and Objectives

### AP-20 Annual Goals and Objectives

#### Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Foster Homeownership Opportunities.	2015	2020	Affordable Housing	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY Issac Neighborhood Revitalization Strategy Area	Homeownership Opportunities.	CDBG: \$1,041,000 HOME: \$210,000	Homeowner Housing Added: 14 Household Housing Unit
2	Rental Housing Production and/or Rehabilitation.	2015	2020	Affordable Housing Non-Homeless Special Needs	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY	Rental Housing Production.	HOME: \$4,023,653 HOME Program Income: \$1,102,392	Rental units constructed: 291 Household Housing Unit



Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
3	Homewoner Housing Rehabilitation Support.	2015	2020	Affordable Housing	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY Issac Neighborhood Revitalization Strategy Area	Homeowner Housing Rehabilitation Support.	CDBG: \$4,632,935 HOME: \$700,000	Homeowner Housing Rehabilitated: 160 Household Housing Unit
4	Homeless & Special Needs Shelter/Oper. Support.	2015	2020	Homeless Non-Homeless Special Needs	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY	Homeless & Special Needs Shelter & Support.	CDBG: \$546,000 ESG: \$1,323,382	Tenant-based rental assistance / Rapid Rehousing: 300 Households Assisted Homeless Person Overnight Shelter: 900 Persons Assisted Homelessness Prevention: 385 Persons Assisted Other: 1650 Other
5	Neighborhood Revitalization.	2015	2020	Non-Housing Community Development	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY Issac Neighborhood Revitalization Strategy Area	Neighborhood Revitalization Efforts.	CDBG: \$2,812,500	Public service activities other than Low/Moderate Income Housing Benefit: 5000 Persons Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
6	Neighborhood Organization Support.	2015	2020	Non-Housing Community Development	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY	Neighborhood Revitalization Efforts.	CDBG: \$280,000	Public service activities other than Low/Moderate Income Housing Benefit: 3000 Persons Assisted
7	Infrastructure Support.	2015	2020	Non-Housing Community Development	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY	Public Facilities Support Infrastructure Support.	CDBG: \$833,904	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 10000 Persons Assisted
8	Public Facilities Support.	2015	2020	Non-Housing Community Development	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY Issac Neighborhood Revitalization Strategy Area	Public Facilities Support	CDBG: \$1,097,709	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 2000 Persons Assisted
9	Public Services Support.	2015	2020	Affordable Housing Public Housing Homeless Non-Homeless Special Needs Non-Housing Community Development	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY Issac Neighborhood Revitalization Strategy Area	Homeless & Special Needs Shelter & Support. Neighborhood Revitalization Efforts. Public Services Support.	CDBG: \$1,551,339	Public service activities other than Low/Moderate Income Housing Benefit: 10000 Persons Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
10	Economic Development Support.	2015	2020	Non-Housing Community Development	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY	Economic Development Support.	CDBG: \$522,000	Jobs created/retained: 5 Jobs Businesses assisted: 5 Businesses Assisted
11	Services For Persons With HIV/AIDS.	2015	2020	Affordable Housing Homeless Non-Homeless Special Needs		Rental Subsidy Support. Homeless Prevention & Emergency Assistance. Homeless & Special Needs Shelter & Support.	HOPWA: \$2,378,741 HOPWA Prior Year Funding: \$1,509,501	Tenant-based rental assistance / Rapid Rehousing: 100 Households Assisted Homelessness Prevention: 45 Persons Assisted HIV/AIDS Housing Operations: 40 Household Housing Unit Other: 75 Other
12	Rental Subsidy Support.	2015	2020	Affordable Housing Public Housing Homeless Non-Homeless Special Needs	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY	Rental Subsidy Support.	HOME: \$346,824	Tenant-based rental assistance / Rapid Rehousing: 30 Households Assisted

Table 6 – Goals Summary

## Goal Descriptions

1	<b>Goal Name</b>	Foster Homeownership Opportunities.
	<b>Goal Description</b>	The City funds homeownership assistance programs that: a) Provide down payment/closing cost assistance as 0% interest soft-second loans; b) Construct new homes in neighborhoods undergoing revitalization as part of comprehensive efforts; c) Expand current efforts to rehabilitate homes for homeownership in revitalizing neighborhoods; d) Increase overall community participation in developing homeownership opportunities; e) The Housing Department's homeownership programs help residents become first-time homeowners by providing the following: Pre-purchase Home Buyers' Education: This eight hour class provides families with the basics of buying and owning a home including renting versus owning; predatory lending; mortgage terms, and the importance of credit and basic credit repair; f) Financial literacy: Families may choose a financial literacy program that meets their needs; g) One-on-One pre-purchase homeownership counseling: A counseling agency reviews family credit, debts and income, and helps prepares families to successfully obtain a mortgage and guide them through the purchasing process.
2	<b>Goal Name</b>	Rental Housing Production and/or Rehabilitation.
	<b>Goal Description</b>	<p>The production or rehabilitation of existing rental units.</p> <p>The Housing Department provides financing for rental projects with HOME and CDBG funds through activities such as new construction and acquisition and/or rehabilitation. Special needs rental projects serving persons who need housing plus supportive services can be funded through the same activities.</p> <p>This program provides low interest loans to nonprofit and for profit developers to construct, acquire and rehabilitate, or rehabilitate housing for low-income rental purposes. The owner must agree to keep rents affordable to low- and moderate-income households providing long-term affordability. Funds are awarded through a formal application process. The Housing Department may consider refinancing as an investment strategy for acquisition and/or rehabilitation of affordable rental projects sponsored by nonprofit housing developers. Priority will be given to projects already supported by City funds.</p> <p>Neighborhood Services Department operates a rental rehabilitation program which provides flexible financing for the rehabilitation of single family/multifamily rental units intended to be occupied by low-income tenants with the use of CDBG funds. Priority may be provided in city designated targeted areas. Owner contribution/matching funds may be required. Compliance with Neighborhood Preservation, Zoning Ordinance and other local codes; property owner/manager participation in a Landlord/tenant seminar and Crime Free Multi-Housing Program is a requirement. Crime prevention through environmental design (CPTED) measures may be considered and incorporated when possible.</p>

<b>3</b>	<b>Goal Name</b>	Homewoner Housing Rehabilitation Support.
	<b>Goal Description</b>	<p>Citywide program utilizing CDBG, HOME or other funding sources to provide financial assistance (in the form of grants/loans, or other) to eligible low to moderate income homeowners for emergency home repairs and/or to address health or safety hazards. The program may also address non-emergency home repairs to stabilize critical home systems (electrical, mechanical, plumbing, roofing) to remediate lead hazards, to improve energy efficiency, and to meet healthy homes standards. Related relocation expenses may be provided if funds are available.</p> <p>Activities may include: reconstruction of dilapidated homes determined to be infeasible to rehab; demolition of illegal structures/stand-alone structures; exterior improvements such as sidewalks, exterior paint, driveways, garages, fence, minor landscaping to assure exterior of the property is compliant with the Neighborhood Preservation and Zoning Ordinance etc. when paired with other rehab activities; pool fill ins when paired with other rehab activities. Other activities may include mobile home replacement program with this use of CDBG funds. CDBG funds are also used to leverage and supplement federal and private funding for the Weatherization Assistance Program.</p>
<b>4</b>	<b>Goal Name</b>	Homeless & Special Needs Shelter/Oper. Support.
	<b>Goal Description</b>	Provide outreach to persons living on the streets; operate emergency shelter for families with children, single women and unaccompanied youth experiencing homelessness; homeless/eviction prevention; rapid rehousing; and for program administration and data collection through HMIS.

5	<b>Goal Name</b>	Neighborhood Revitalization.
	<b>Goal Description</b>	<p>The City of Phoenix uses a strategic approach to address Citywide needs and Community Development activities to enhance the physical environment and to revitalize neighborhoods. The strategies are supported by funding specific program activities. The strategies implemented Citywide include programs to address blight elimination; neighborhood revitalization issues (housing rehabilitation, problem rental housing, and homeownership); and quality of life enhancement programs.</p> <p>Some neighborhoods have been identified and selected that require a comprehensive approach. These targeted neighborhoods include Neighborhood Initiative and Redevelopment Areas and the Enterprise Community. The City, in partnership with residents, non-profit organizations and the private sector, will continue concentrating resources in these areas to complete priority projects and strategies.</p> <p>This category includes funding for Neighborhood Specialists that work directly with neighborhood groups, graffiti elimination, abatement of blighted properties and code enforcement activities.</p>
6	<b>Goal Name</b>	Neighborhood Organization Support.
	<b>Goal Description</b>	Funds for the Westwood neighborhood for crime prevention funds to support police education and activity in an area of Phoenix with a high crime rate and the highest rental property rate.
7	<b>Goal Name</b>	Infrastructure Support.
	<b>Goal Description</b>	Funds will be used to provide public infrastructure improvements and park enhancements in low-income census tracts.
8	<b>Goal Name</b>	Public Facilities Support.
	<b>Goal Description</b>	Funds will be used by nonprofit agencies to rehabilitate buildings serving low-income clientele.
9	<b>Goal Name</b>	Public Services Support.
	<b>Goal Description</b>	Funds will be provided to nonprofit entities for the operation of their public service projects serving low-income and presumed benefit clients.

<b>10</b>	<b>Goal Name</b>	Economic Development Support.
	<b>Goal Description</b>	Funds will be used for a variety of economic development activities including technical assistance, collateral enhancement and commercial rehabilitation.
<b>11</b>	<b>Goal Name</b>	Services For Persons With HIV/AIDS.
	<b>Goal Description</b>	The goal of the City of Phoenix HOPWA Program is, where possible, to maintain eligible persons in their current living conditions through supportive services and to increase the number of shelter, transitional and permanent housing units for persons living with HIV/AIDS. The Housing Department administers the HOPWA funds for two county areas.
<b>12</b>	<b>Goal Name</b>	Rental Subsidy Support.
	<b>Goal Description</b>	Funds are provided to non-profit agencies that specialize in supportive housing for young, homeless adults, or other special needs populations. Rental assistance is provided for a 24-month period.

## Projects

### AP-35 Projects – 91.220(d)

#### Introduction

The projects included in this section are designed to address the needs of the Phoenix community as outlined in the Consolidated Plan.

#### Projects

#	Project Name
1	CDBG Owner Occupied Housing Rehabilitation Program
2	CDBG Hardship Assistance Program
3	CDBG Public Services RFP
4	CDBG Public Facilities RFP
5	CDBG Administration
6	CDBG Homeless Block - Human Services Department
7	CDBG Housing Counseling RFP
8	CDBG Rental Rehabilitation Program
9	CDBG Code Enforcement
10	CDBG Demolition
11	CDBG Graffiti Removal
12	CDBG Community Economic Development Activities
13	CDBG Housing Department - Open Application and Operations
14	CDBG Family Self Sufficiency
15	CDBG Project Delivery, Environmental and Labor Standards
16	CDBG Landlord Tenant Education and Mediation
17	CDBG Homeless Prevention Rent Assistance
18	CDBG Neighborhood Economic Development
19	CDBG Neighborhood Enhancement and Infrastructure
20	CDBG Neighborhood Revitalization Coordination
21	CDBG Slum and Blight Acquisition, Infill Program
22	CDBG Neighborhood Revitalization/Housing Rehabilitation RFP
23	CDBG UMOM Emergency Shelter for Single Women and Families
24	CDBG Strategic Acquisition



#	Project Name
25	CDBG Down Payment Assistance
26	CDBG Westwood Crime Prevention
27	HESG
28	ESG - Emergency Shelter Services
29	ESG - Street Outreach and Rapid Re-Housing Support Services
30	ESG - Eviction Prevention
31	ESG - HMIS
32	ESG - Program Management Coordination and Support
33	HOPWA Emergency Assistance Program (STRMU)
34	HOPWA Rental Assistance (TBRA)
35	HOPWA Supportive Housing(Facility-Based Housing:Transitional;Short-Term;PermSupportive/Independent)
36	HOPWA Housing Information Services(Housing Coordinator) and Ombudsman(Housing Advocate)
37	HOPWA Employment Services
38	HOPWA Permanent Housing Placement (PHP)
39	HOPWA Program Management and Coordination
40	HOME Program Management and Coordination
41	HOME CHDO Set Aside
42	HOME Multifamily Rental Projects
43	HOME Housing Rehabilitation and Reconstruction
44	HOME Special Projects
45	CDBG Homeless Street Outreach
46	CDBG Phoenix Starfish Place
47	CDBG HSD Homeless Project

**Table 7 - Project Information**

**Describe the reasons for allocation priorities and any obstacles to addressing underserved needs**

Allocation priorities are based on the response from the community and the 2015-2020 Consolidated Plan. The City of Phoenix is vigilant in implementing new, efficient, and effective ways to address the needs of the underserved community. Departments have partnered and provided coordination with other non-profit and governmental agencies to reduce and/or eliminate the obstacles to serving those who would be considered underserved.

**AP-38 Project Summary**  
**Project Summary Information**

1	<b>Project Name</b>	CDBG Owner Occupied Housing Rehabilitation Program
	<b>Target Area</b>	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY Issac Neighborhood Revitalization Strategy Area
	<b>Goals Supported</b>	Homewoner Housing Rehabilitation Support.
	<b>Needs Addressed</b>	Homeowner Housing Rehabilitation Support.
	<b>Funding</b>	CDBG: \$4,165,595
	<b>Description</b>	Citywide program to provide assistance (in the form of grants/loans or other) to eligible low- and moderate-income homeowners for emergency home repairs and/or to address health or safety hazards. The program may also address non-emergency home repairs to stabilize critical home systems (electrical, mechanical, plumbing, roofing) to remediate lead hazards, to improve energy efficiency, and to meet health home standards. Related relocation expenses may be provided if funds are available. Operations account for \$1,840,000 and construction/construction related expenses account for \$2,325,595.
	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	120 low- and moderate-income Phoenix residents will benefit from this program during the program year.
	<b>Location Description</b>	The program will be offered citywide.
	<b>Planned Activities</b>	Activities may include: reconstruction of dilapidated homes determined to be infeasible due to rehab; demolition of illegal structures/stand-alone structures; exterior improvements such as sidewalks, exterior paint, driveways, garages, fences, minor landscaping to assure exterior of the property is compliant with the Neighborhood Preservation and Zoning Ordinance, etc. when paired with other rehab activities; pool fill-in when paired with other rehab activities. Other activities may include mobile home replacement program. CDBG funds are also used to leverage and supplement federal and private funding for the Weatherization Assistance Program.
<b>Project Name</b>	CDBG Hardship Assistance Program	

<b>2</b>	<b>Target Area</b>	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY Issac Neighborhood Revitalization Strategy Area
	<b>Goals Supported</b>	Homewoner Housing Rehabilitation Support.
	<b>Needs Addressed</b>	Homeowner Housing Rehabilitation Support.
	<b>Funding</b>	CDBG: \$355,967
	<b>Description</b>	Citywide program provides assistance to correct exterior code violations (in the form of grants or loans) to low- and moderate- income eligible homeowners who are in violation of the Neighborhood Preservation Ordinance. Assistance shall not be provided more than one time for the same violation. Additional activities include dead tree removal and the use of dumpsters for property clean-ups provided by the homeowner and volunteer groups. The goal of the program is to leave the property violation-free.
	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Fifteen low- and moderate-income Phoenix households are estimated to participate in the program during the program year.
	<b>Location Description</b>	Citywide
	<b>Planned Activities</b>	Citywide program provides assistance to correct exterior code violations (in the form of grants or loans) to low- and moderate- income eligible homeowners who are in violation of the Neighborhood Preservation Ordinance. Assistance shall not be provided more than one time for the same violation. Additional activities include dead tree removal and the use of dumpsters for property clean-ups provided by the homeowner and volunteer groups. The goal of the program is to leave the property violation-free.
<b>3</b>	<b>Project Name</b>	CDBG Public Services RFP
	<b>Target Area</b>	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY Issac Neighborhood Revitalization Strategy Area
	<b>Goals Supported</b>	Public Services Support.
	<b>Needs Addressed</b>	Public Services Support.

	<b>Funding</b>	CDBG: \$239,524
	<b>Description</b>	A public services RFP of \$239,524 will be available to non-profit agencies for public service projects. The RFP will support the needs of low- and moderate-income Phoenicians with emphasis on youth, seniors, and persons with disabilities.
	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	500 low- and moderate-income Phoenix families will benefit from the programs.
	<b>Location Description</b>	Citywide
	<b>Planned Activities</b>	Of the total amount allocated to the RFP will fund projects for low-income youth and persons with disabilities and/or seniors.
<b>4</b>	<b>Project Name</b>	CDBG Public Facilities RFP
	<b>Target Area</b>	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY Issac Neighborhood Revitalization Strategy Area
	<b>Goals Supported</b>	Public Facilities Support.
	<b>Needs Addressed</b>	Public Facilities Support
	<b>Funding</b>	CDBG: \$427,627
	<b>Description</b>	A Public Facilities RFP of \$328,000 will fund acquisition, construction, and rehabilitation of senior and youth centers, neighborhood facilities, child care facilities, domestic violence shelters, and Americans with Disabilities Act (ADA) projects in low-income areas. This RFP will allow the City to fund projects such as acquisition, construction, and rehabilitation of facilities owned by non-profit agencies
	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	3 public facility projects, serving low- and moderate-income Phoenix families, will be awarded funding.
	<b>Location Description</b>	Citywide

	<b>Planned Activities</b>	A request for proposal process will be conducted to identify eligible nonprofit organizations seeking funds
5	<b>Project Name</b>	CDBG Administration
	<b>Target Area</b>	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY Issac Neighborhood Revitalization Strategy Area
	<b>Goals Supported</b>	Foster Homeownership Opportunities. Rental Housing Production and/or Rehabilitation. Homewoner Housing Rehabilitation Support. Homeless & Special Needs Shelter/Oper. Support. Neighborhood Revitalization. Neighborhood Organization Support. Infrastructure Support. Public Facilities Support. Public Services Support. Economic Development Support.
	<b>Needs Addressed</b>	Homeownership Opportunities. Rental Housing Production. Rental Subsidy Support. Homeowner Housing Rehabilitation Support. Homeless Prevention & Emergency Assistance. Homeless & Special Needs Shelter & Support. Neighborhood Revitalization Efforts. Public Facilities Support Infrastructure Support. Public Services Support. Economic Development Support.
	<b>Funding</b>	CDBG: \$3,250,436
	<b>Description</b>	Funds will be used to administer the CDBG program.
	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	
	<b>Location Description</b>	

	<b>Planned Activities</b>	Funds for Administration only
<b>6</b>	<b>Project Name</b>	CDBG Homeless Block - Human Services Department
	<b>Target Area</b>	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY
	<b>Goals Supported</b>	Homeless & Special Needs Shelter/Oper. Support.
	<b>Needs Addressed</b>	Homeless & Special Needs Shelter & Support.
	<b>Funding</b>	CDBG: \$152,000
	<b>Description</b>	Funds support navigation and wrap round services for homeless justice involved individuals.
	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	An estimated 100 homeless individuals (unaccompanied youth and families) benefit from this activity.
	<b>Location Description</b>	Citywide
<b>Planned Activities</b>	Funds for Southwest Behavioral Health to provide navigation and supportive services to justice involved homeless individuals with repeat misdemeanor offenses related to homelessness.	
<b>7</b>	<b>Project Name</b>	CDBG Housing Counseling RFP
	<b>Target Area</b>	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY Issac Neighborhood Revitalization Strategy Area
	<b>Goals Supported</b>	Foster Homeownership Opportunities.
	<b>Needs Addressed</b>	Homeownership Opportunities.
	<b>Funding</b>	CDBG: \$20,000
	<b>Description</b>	A request for proposal process will be issued for nonprofit housing counseling agencies to provide budget/credit counseling, pre-purchase counseling, and foreclosure intervention/delinquency counseling for low-income families.
	<b>Target Date</b>	6/30/2019

	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Up to 6 low- and moderate-income Phoenix families will benefit from this activity.
	<b>Location Description</b>	Citywide
	<b>Planned Activities</b>	A request for proposal process will be issued for nonprofit housing counseling agencies to provide budget/credit counseling, pre-purchase counseling, and foreclosure intervention/delinquency counseling for low-income families.
<b>8</b>	<b>Project Name</b>	CDBG Rental Rehabilitation Program
	<b>Target Area</b>	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY Issac Neighborhood Revitalization Strategy Area
	<b>Goals Supported</b>	Rental Housing Production and/or Rehabilitation.
	<b>Needs Addressed</b>	Rental Housing Production.
	<b>Funding</b>	:
	<b>Description</b>	Citywide program provides flexible financing for the rehabilitation of single family/multi family rental units intended to be occupied by low-income tenants with the use of CDBG funds. Priority is given in targeted areas. Owner contribution/matching funds may be required. Compliance with Neighborhood Preservation and Zoning Ordinance, participation in the Landlord/tenant seminar and Crime Free Multi-Housing Program is a requirement. Crime prevention through environmental design (CPTED) measures will be considered and incorporated when possible.
	<b>Target Date</b>	
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	
	<b>Location Description</b>	
	<b>Planned Activities</b>	
	<b>Project Name</b>	CDBG Code Enforcement



9	<b>Target Area</b>	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY Issac Neighborhood Revitalization Strategy Area
	<b>Goals Supported</b>	Neighborhood Revitalization.
	<b>Needs Addressed</b>	Neighborhood Revitalization Efforts.
	<b>Funding</b>	CDBG: \$1,350,000
	<b>Description</b>	The Neighborhood Services Department (NSD) Preservation Division enforces the City of Phoenix Neighborhood Preservation Ordinance (NPO) which is a key component of strategic revitalization efforts in low-income areas and designated areas with the most comprehensive revitalization and economic development activities. The code compliance portion of the neighborhood action strategies focus on addressing blight violations of the NPO. The strategy is to address the social, economic, and physical needs of the neighborhoods, complete revitalization activities and move on to other neighborhoods. The estimated amount for the program is \$850,000 (entitlement) and \$500,000 (program income).
	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Up to 500 low- and moderate-income Phoenix families, residing the targeted areas will benefit from this activity.
	<b>Location Description</b>	Low- and moderate-income deteriorating areas of the city.
	<b>Planned Activities</b>	The Neighborhood Services Department (NSD) Preservation Division enforces the City of Phoenix Neighborhood Preservation Ordinance (NPO) which is a key component of strategic revitalization efforts in low-income areas and designated areas with the most comprehensive revitalization and economic development activities. The code compliance portion of the neighborhood action strategies focus on addressing blight violations of the NPO. The strategy is to address the social, economic, and physical needs of the neighborhoods, complete revitalization activities and move on to other neighborhoods.
10	<b>Project Name</b>	CDBG Demolition
	<b>Target Area</b>	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY Issac Neighborhood Revitalization Strategy Area

	<b>Goals Supported</b>	Neighborhood Revitalization.
	<b>Needs Addressed</b>	Neighborhood Revitalization Efforts.
	<b>Funding</b>	CDBG: \$350,000
	<b>Description</b>	It is a violation of the Neighborhood Preservation ordinance to allow a vacant structure to remain open, vacant, and unsecured as it constitutes a threat to the health, safety and welfare of the community. The Neighborhood Services Department's Preservation Division uses contractual demolition as a last resort in the code enforcement process to abate nuisance conditions on structures when the responsible parties fail to address the violations after the delivery and expiration of the Notice of Ordinance Violation.
	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Three slum or blighting structure will be demolished.
	<b>Location Description</b>	Slum and blighted properties citywide on a spot basis.
	<b>Planned Activities</b>	Demolition of slum and blighted structures identified through the code enforcement process.
<b>11</b>	<b>Project Name</b>	CDBG Graffiti Removal
	<b>Target Area</b>	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY Issac Neighborhood Revitalization Strategy Area
	<b>Goals Supported</b>	Neighborhood Revitalization.
	<b>Needs Addressed</b>	Neighborhood Revitalization Efforts. Public Facilities Support
	<b>Funding</b>	CDBG: \$800,000

	<b>Description</b>	The Graffiti Program removes graffiti in low- and moderate-income areas from rights of way and other approved public buildings that are open to the public, are either publicly owned or owned by a nonprofit organization and do not include private property. The program prioritizes the removal of graffiti in a timely manner and focuses on enhancing and developing partnerships with businesses, neighborhoods, other city departments, and other outside agencies such as schools and civic organizations. The NSD Preservation Division will continue to review new technologies and products to ensure the utilization of the most cost-effective methods. Funds are also used for the program management and coordination.
	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Up to 40,000 graffiti sites in low- and moderate-income areas will be removed from rights of way and other approved public buildings that are open to the public.
	<b>Location Description</b>	Low- and moderate-income neighborhoods
	<b>Planned Activities</b>	Graffiti will be removed from public right-of-ways and public buildings in low- and moderate-income neighborhoods.
<b>12</b>	<b>Project Name</b>	CDBG Community Economic Development Activities
	<b>Target Area</b>	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY
	<b>Goals Supported</b>	Economic Development Support.
	<b>Needs Addressed</b>	Economic Development Support.
	<b>Funding</b>	CDBG: \$121,000
	<b>Description</b>	Funds will be used to provide business financial services; the Management Technical Assistance Program, will provide free consulting services to small business owners; and the Open Application Business Technical Assistance program, a request for proposal process, provides grants to small business assistance organizations. These programs are carrying out activities from prior year funding.
	<b>Target Date</b>	6/30/2019

	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	An estimated 50 small for-profit businesses will be served.
	<b>Location Description</b>	Citywide
	<b>Planned Activities</b>	The Management Technical Assistance program will provide general technical business assistance to for-profit businesses through a one-on-one and/or workshop format. An request for proposal process for the Economic Development Open Application program is scheduled for Fall 2018.
<b>13</b>	<b>Project Name</b>	CDBG Housing Department - Open Application and Operations
	<b>Target Area</b>	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY
	<b>Goals Supported</b>	Foster Homeownership Opportunities. Rental Housing Production and/or Rehabilitation.
	<b>Needs Addressed</b>	Homeownership Opportunities. Rental Housing Production.
	<b>Funding</b>	CDBG: \$221,000
	<b>Description</b>	Funds will assist nonprofit agencies as they create home ownership opportunities and develop affordable housing for lower-income households and special needs populations. Special needs populations include homeless persons, victims of domestic violence, disabled persons, youth in crisis and the elderly. The activities are 1) first time home ownership assistance and 2) the acquisition and/or rehabilitation and limited construction of housing/shelter for special needs or lower-income households. Previous call for interest projects which received prior year CDBG funding will be completed during the program year.
	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Funds will be used to offer a request for proposal process to assist nonprofit-owned multi-family residential rehabilitation projects.
	<b>Location Description</b>	Citywide and in the Promise Zone

	<b>Planned Activities</b>	Funds will be used to assist nonprofit-owned multi-family residential rehabilitation projects. Previous Calls for Interest projects which received funds will be developed and completed during the program year.
<b>14</b>	<b>Project Name</b>	CDBG Family Self Sufficiency
	<b>Target Area</b>	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY
	<b>Goals Supported</b>	Public Services Support.
	<b>Needs Addressed</b>	Public Services Support.
	<b>Funding</b>	CDBG: \$455,000
	<b>Description</b>	The Family Self Sufficiency program supports residents of public housing with programming to help them become economically independent, further their education, establish or repair their credit, find better employment, start savings accounts or purchase a home.
	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	At least 225 residents of public housing will be assisted.
	<b>Location Description</b>	City of Phoenix public housing sites.
	<b>Planned Activities</b>	The Family Self Sufficiency program supports residents of public housing with programming to help them become economically independent, further their education, establish or repair their credit, find better employment, start savings accounts or purchase a home.
<b>15</b>	<b>Project Name</b>	CDBG Project Delivery, Environmental and Labor Standards
	<b>Target Area</b>	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY Issac Neighborhood Revitalization Strategy Area
	<b>Goals Supported</b>	Public Facilities Support.
	<b>Needs Addressed</b>	Homeowner Housing Rehabilitation Support. Public Facilities Support
	<b>Funding</b>	CDBG: \$797,709
	<b>Description</b>	Funds for the implementation of CDBG projects including project management, environmental review and labor standards.

	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Funds will be used for the implementation of CDBG-funded projects. Project Managers, Environmental Quality Specialist and Labor Standards Monitor positions will ensure CBDG projects are ongoing and compliant. Beneficiaries for this program are derived from various Public Facility projects
	<b>Location Description</b>	Low- and moderate-income areas citywide.
	<b>Planned Activities</b>	Project implementation of CDBG-funded activities.
<b>16</b>	<b>Project Name</b>	CDBG Landlord Tenant Education and Mediation
	<b>Target Area</b>	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY Issac Neighborhood Revitalization Strategy Area
	<b>Goals Supported</b>	Public Services Support.
	<b>Needs Addressed</b>	Public Services Support.
	<b>Funding</b>	CDBG: \$180,000
	<b>Description</b>	This program provides assistance and education to tenants, landlords and homeowners. The certified Housing Counselors provide free one on one counseling, group presentations and clinics that focus on tenant and landlord relations.
	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Up to 150 low- and moderate-income tenants, landlords and homeowners will benefit from this activity in the grant year.
	<b>Location Description</b>	Citywide
	<b>Planned Activities</b>	One on one counseling for tenants and landlords as well as group presentations and clinics.
<b>17</b>	<b>Project Name</b>	CDBG Homeless Prevention Rent Assistance
	<b>Target Area</b>	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY Issac Neighborhood Revitalization Strategy Area
	<b>Goals Supported</b>	Public Services Support.

	<b>Needs Addressed</b>	Public Services Support.
	<b>Funding</b>	CDBG: \$30,000
	<b>Description</b>	This program assists tenants with finding affordable replacement housing or emergency assistance. The funds are used to provide victims forced to evacuate unsafe and unsanitary housing units with financial assistance for initial rent deposits and first months' rent.
	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	An estimated ten (10) low-income families may receive assistance with this allocation. Funds are available from previous allocations should a greater need arise.
	<b>Location Description</b>	Citywide
	<b>Planned Activities</b>	This program assists tenants with finding affordable replacement housing or emergency assistance. The funds are used to provide victims forced to evacuate unsafe and unsanitary housing units with financial assistance for initial rent deposits and first months' rent.
<b>18</b>	<b>Project Name</b>	CDBG Neighborhood Economic Development
	<b>Target Area</b>	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY Issac Neighborhood Revitalization Strategy Area
	<b>Goals Supported</b>	Economic Development Support.
	<b>Needs Addressed</b>	Economic Development Support.
	<b>Funding</b>	CDBG: \$646,000
	<b>Description</b>	Funds will be used for neighborhood revitalization and economic development activities. Projects to be implemented include two storefront improvement programs: Neighborhood Commercial Rehabilitation and Operation Patch and Paint. Other activities include disposition and development of previously acquired commercial sites and implementation of area redevelopment plans.
	<b>Target Date</b>	6/30/2019

	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Two businesses in low-income, locally targeted or redevelopment areas will receive assistance.
	<b>Location Description</b>	Low-income areas, locally designated target areas and redevelopment areas.
	<b>Planned Activities</b>	Neighborhood Commercial Rehabilitation and Operation Patch and Paint. Other activities include disposition and development of previously acquired commercial sites and implementation of area redevelopment plans.
<b>19</b>	<b>Project Name</b>	CDBG Neighborhood Enhancement and Infrastructure
	<b>Target Area</b>	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY Issac Neighborhood Revitalization Strategy Area
	<b>Goals Supported</b>	Neighborhood Revitalization. Infrastructure Support. Public Facilities Support.
	<b>Needs Addressed</b>	Neighborhood Revitalization Efforts. Public Facilities Support Infrastructure Support.
	<b>Funding</b>	CDBG: \$843,092
	<b>Description</b>	This allocation will fund infrastructure improvements in low-income neighborhoods as well as park enhancements. Eligible activities include streets improvements, water, sewer lines, new streetlights, park/playground improvements, streetscaping, landscaping, community gardens, physical improvements related to traffic mitigation, street and alley closures, and other key community projects. The funds will be focused on neighborhoods with active community based organizations/associations and areas that have basic neighborhood improvement goals and strategies in place.
	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Three neighborhood infrastructure or neighborhood enhancement project will benefit a low- and moderate-income neighborhood through this activity.



	<b>Location Description</b>	The funds will be focused on low-income neighborhoods with active community-based organizations/associations that have basic neighborhood improvement goals and strategies in place.
	<b>Planned Activities</b>	Funding will be used for the design, construction and project management associated with the infrastructure and enhancement projects in the year. Eligible activities include streets improvements, water, sewer lines, new streetlights, park/playground improvements, street-scaping, landscaping, community gardens, physical improvements related to traffic mitigation, street and alley closures, and other key community projects.
<b>20</b>	<b>Project Name</b>	CDBG Neighborhood Revitalization Coordination
	<b>Target Area</b>	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY Issac Neighborhood Revitalization Strategy Area
	<b>Goals Supported</b>	Neighborhood Organization Support.
	<b>Needs Addressed</b>	Public Services Support.
	<b>Funding</b>	CDBG: \$280,000
	<b>Description</b>	Funds will be used for the coordination of community education programs, capacity building and neighborhood organization support.
	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Up 500 individuals in low- and moderate-income areas will benefit from this activity.
	<b>Location Description</b>	Low- and moderate-income Phoenix neighborhoods.
	<b>Planned Activities</b>	Funds will be used to pay a portion of the salaries of four Neighborhood Specialists, whose primary job is to coordinate community education programs, capacity building and provide neighborhood organization support.
<b>21</b>	<b>Project Name</b>	CDBG Slum and Blight Acquisition, Infill Program
	<b>Target Area</b>	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY Issac Neighborhood Revitalization Strategy Area

	<b>Goals Supported</b>	Foster Homeownership Opportunities. Neighborhood Revitalization.
	<b>Needs Addressed</b>	Homeownership Opportunities. Neighborhood Revitalization Efforts.
	<b>Funding</b>	CDBG: \$325,000
	<b>Description</b>	This program allows for the acquisition of slum and blighted properties in redevelopment and neighborhood initiative areas. The properties are acquired in accordance with strategic plans for those areas by owner agreement or eminent domain for redevelopment areas. The infill program will allow new construction of single-family homes on vacant lots within residential neighborhoods located in neighborhood initiative and redevelopment areas.
	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Two slum and blighted property will be purchased in the grant year. Infill development will occur by a community-based development organization (CBDO) to allow homeownership opportunities to low-income families
	<b>Location Description</b>	Neighborhood Initiative areas and Redevelopment areas
	<b>Planned Activities</b>	Slum and blighted properties will be acquired and demolished. Infill development of new single-family homes will occur on city-owned parcels in targeted areas.
22	<b>Project Name</b>	CDBG Neighborhood Revitalization/Housing Rehabilitation RFP
	<b>Target Area</b>	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY Issac Neighborhood Revitalization Strategy Area
	<b>Goals Supported</b>	Rental Housing Production and/or Rehabilitation. Homewoner Housing Rehabilitation Support.
	<b>Needs Addressed</b>	Homeowner Housing Rehabilitation Support.
	<b>Funding</b>	CDBG: \$111,373
	<b>Description</b>	This allocation will be used to conduct a Request for Proposal process for nonprofit organizations providing housing rehabilitation services and accessibility modifications for eligible residents.
	<b>Target Date</b>	6/30/2019

	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Funds will be granted to nonprofit organizations to assist 50 low-income homeowners and/or renters with accessibility modifications, major and minor home repairs.
	<b>Location Description</b>	Citywide
	<b>Planned Activities</b>	The program is intended to provide comprehensive revitalization services to low- and moderate-income City of Phoenix residents. The RFP will seek respondents who can provide major and minor single-family, owner-occupied rehabilitation and home accessibility modification services for physically-challenged individuals.
<b>23</b>	<b>Project Name</b>	CDBG UMOM Emergency Shelter for Single Women and Families
	<b>Target Area</b>	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY
	<b>Goals Supported</b>	Homeless & Special Needs Shelter/Oper. Support.
	<b>Needs Addressed</b>	Homeless Prevention & Emergency Assistance. Homeless & Special Needs Shelter & Support.
	<b>Funding</b>	CDBG: \$394,000
	<b>Description</b>	Funds support emergency shelter operations at the UMOM Emergency Shelter for Single Women and Families.
	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	550 single women will receive emergency shelter services. 300 adults and children in families will receive emergency shelter services.
	<b>Location Description</b>	Citywide
<b>Planned Activities</b>	Funds to operate and provide supportive services at the UMOM Emergency Shelter for Single Women and Families.	
<b>24</b>	<b>Project Name</b>	CDBG Strategic Acquisition
	<b>Target Area</b>	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY Issac Neighborhood Revitalization Strategy Area

	<b>Goals Supported</b>	Foster Homeownership Opportunities.
	<b>Needs Addressed</b>	Homeownership Opportunities.
	<b>Funding</b>	CDBG: \$125,000
	<b>Description</b>	Funds to be used for acquisition of strategic properties in targeted areas for infill development.
	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	One property will be purchased for future infill development.
	<b>Location Description</b>	Local neighborhood initiative areas, redevelopment areas or the NRSA.
	<b>Planned Activities</b>	Purchase of strategic properties for future infill development.
<b>25</b>	<b>Project Name</b>	CDBG Down Payment Assistance
	<b>Target Area</b>	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY Issac Neighborhood Revitalization Strategy Area
	<b>Goals Supported</b>	Foster Homeownership Opportunities.
	<b>Needs Addressed</b>	Homeownership Opportunities.
	<b>Funding</b>	CDBG: \$100,000
	<b>Description</b>	Funds will be used to provide up to \$15,000 in down payment assistance to low-income families purchasing a home after completing housing counseling requirements as direct home ownership assistance at 570.201(n).
	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Up to six families will benefit from CDBG down payment assistance funding in the year.
	<b>Location Description</b>	Down payment assistance will be provided to income-eligible families purchasing homes in the city's targeted areas.

	<b>Planned Activities</b>	Down payment assistance to low-income families purchasing a home after completing housing counseling requirements.
<b>26</b>	<b>Project Name</b>	CDBG Westwood Crime Prevention
	<b>Target Area</b>	PHOENIX ENTERPRISE COMMUNITY
	<b>Goals Supported</b>	Neighborhood Revitalization.
	<b>Needs Addressed</b>	Neighborhood Revitalization Efforts.
	<b>Funding</b>	CDBG: \$12,500
	<b>Description</b>	Funds will be used in partnership with the City of Phoenix Police Department to provide crime prevention/suppression activities in the Westwood neighborhood, one of the highest per capita rental unit neighborhoods in the city with extremely high crime statistics.
	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Westwood Neighborhood, a low-income community with a high crime rate.
	<b>Location Description</b>	Funds will be used for police officer overtime to coordinate crime prevention and suppression activities in the Westwood neighborhood.
	<b>Planned Activities</b>	Funds will be used for police officer overtime to coordinate crime prevention and suppression activities in the Westwood neighborhood.
<b>27</b>	<b>Project Name</b>	HESG
	<b>Target Area</b>	
	<b>Goals Supported</b>	Rental Subsidy Support. Homeless & Special Needs Shelter/Oper. Support.
	<b>Needs Addressed</b>	Rental Subsidy Support. Homeless & Special Needs Shelter & Support.
	<b>Funding</b>	ESG: \$1,306,973
	<b>Description</b>	The 2018 Federal Fiscal Year allocation of ESG funds for the City of Phoenix are planned to provide outreach to persons living on the streets; operate emergency shelter for families with children, single women and unaccompanied youth experiencing homelessness; homeless/eviction prevention; rapid rehousing; and for program administration and data collection through HMIS.

	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	It is estimated that approximately 3,211 individuals will be provided services.
	<b>Location Description</b>	Citywide.
	<b>Planned Activities</b>	Outreach; Emergency Shelter Operations/ Support; Rapid Rehousing Assistance /Support; Homeless/Eviction Prevention; HMIS; Admin.
28	<b>Project Name</b>	ESG - Emergency Shelter Services
	<b>Target Area</b>	
	<b>Goals Supported</b>	Homeless & Special Needs Shelter/Oper. Support.
	<b>Needs Addressed</b>	Homeless Prevention & Emergency Assistance. Homeless & Special Needs Shelter & Support.
	<b>Funding</b>	ESG: \$623,327
	<b>Description</b>	The City of Phoenix provides funding for emergency shelter and support services for youth, families with children and single individuals. Services are provided by community-based non-profit organizations via contracts.
	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	It is estimated that approximately 1,200 individuals will be receive services.
	<b>Location Description</b>	Individuals from all over the city of Phoenix will benefit from this services. Shelter services will be provided at United Methodist Outreach Ministries located at 3333 E. Van Buren Street, Phoenix, AZ 85008, and Chicanos Por La Causa De Colores Domestic Violence Shelter which has a confidential address.
<b>Planned Activities</b>	The City of Phoenix provides ESG funds to United Methodist Outreach Ministries New Day Centers (UMOM), Inc. to provide shelter at two facilities serving families with children and single women. The City is also providing ESG funds to Chicanos Por La Causa to obtain emergency shelter operations and services for families identified by City of Phoenix Police, Fire and Human Services.	

29	<b>Project Name</b>	ESG - Street Outreach and Rapid Re-Housing Support Services
	<b>Target Area</b>	
	<b>Goals Supported</b>	Homeless & Special Needs Shelter/Oper. Support.
	<b>Needs Addressed</b>	Homeless & Special Needs Shelter & Support.
	<b>Funding</b>	ESG: \$530,646
	<b>Description</b>	The City of Phoenix provides funding for a variety of services supporting individuals experiencing homelessness in transitioning to permanent and permanent supportive housing and achieving and maintaining housing stabilization.
	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	An estimated 180 homeless individuals and families will receive services.
	<b>Location Description</b>	Services will be provided citywide.
	<b>Planned Activities</b>	The City of Phoenix provides ESG funds to support bridge to permanency services for individuals moving from unsheltered homelessness to permanent housing. The City is also providing ESG funds to provide rapid rehousing support services for unaccompanied youth.
30	<b>Project Name</b>	ESG - Eviction Prevention
	<b>Target Area</b>	
	<b>Goals Supported</b>	Homeless & Special Needs Shelter/Oper. Support.
	<b>Needs Addressed</b>	Homeless Prevention & Emergency Assistance.
	<b>Funding</b>	ESG: \$70,000
	<b>Description</b>	The City of Phoenix provides funding for eviction prevention services to individuals at risk for homelessness.
	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	It is estimated a 1000 extremely low to moderate income individuals or families will receive services.

	<b>Location Description</b>	Services will be provided citywide.
	<b>Planned Activities</b>	The City of Phoenix will provide funding for eviction prevention services to individuals at risk for homelessness.
<b>31</b>	<b>Project Name</b>	ESG - HMIS
	<b>Target Area</b>	
	<b>Goals Supported</b>	Homeless & Special Needs Shelter/Oper. Support.
	<b>Needs Addressed</b>	Homeless Prevention & Emergency Assistance. Homeless & Special Needs Shelter & Support.
	<b>Funding</b>	ESG: \$8,000
	<b>Description</b>	The City of Phoenix participates in the HMIS collection and analysis of data on individuals and families who are experiencing homelessness which include HMIS licenses and data bridging costs.
	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	The City of Phoenix Homeless Services Division provides direct services to approximately 200 homeless individuals and families each year.
	<b>Location Description</b>	Services are provided citywide.
	<b>Planned Activities</b>	Information will be collected and reported in HMIS for homeless individuals and families served.
<b>32</b>	<b>Project Name</b>	ESG - Program Management Coordination and Support
	<b>Target Area</b>	
	<b>Goals Supported</b>	
	<b>Needs Addressed</b>	
	<b>Funding</b>	ESG: \$75,000
	<b>Description</b>	The City of Phoenix provides general management, oversight and coordination to support homeless programs.
	<b>Target Date</b>	6/30/2019



	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	
	<b>Location Description</b>	
	<b>Planned Activities</b>	
<b>33</b>	<b>Project Name</b>	HOPWA Emergency Assistance Program (STRMU)
	<b>Target Area</b>	
	<b>Goals Supported</b>	Services For Persons With HIV/AIDS.
	<b>Needs Addressed</b>	Homeless Prevention & Emergency Assistance.
	<b>Funding</b>	HOPWA: \$97,850
	<b>Description</b>	HOPWA Emergency Assistance offers immediate, short-term assistance in maintaining persons and families in their current living environment.
	<b>Target Date</b>	6/28/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	45 individuals and/or family special needs households.
	<b>Location Description</b>	Maricopa and Pinal Counties
	<b>Planned Activities</b>	Emergency assistance and homelessness prevention through short-term rent, mortgage and utility payments.
<b>34</b>	<b>Project Name</b>	HOPWA Rental Assistance (TBRA)
	<b>Target Area</b>	
	<b>Goals Supported</b>	Services For Persons With HIV/AIDS.
	<b>Needs Addressed</b>	Rental Subsidy Support.
	<b>Funding</b>	HOPWA: \$1,194,325 HOPWA Prior Year Funding: \$1,148,674
	<b>Description</b>	HOPWA Tenant-Based Rental Assistance program serving 50% of the Area Median Income.
	<b>Target Date</b>	6/28/2019

	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	100 individual and/or family households assisted.
	<b>Location Description</b>	Maricopa and Pinal Counties
	<b>Planned Activities</b>	Tenant-Based Rental Assistance
<b>35</b>	<b>Project Name</b>	HOPWA Supportive Housing(Facility-Based Housing:Transitional;Short-Term;PermSupportive/Independent)
	<b>Target Area</b>	
	<b>Goals Supported</b>	Services For Persons With HIV/AIDS.
	<b>Needs Addressed</b>	Rental Housing Production. Rental Subsidy Support. Homeless & Special Needs Shelter & Support.
	<b>Funding</b>	HOPWA: \$682,770 HOPWA Prior Year Funding: \$301,061
	<b>Description</b>	HOPWA Supportive Housing Programs assists non-profit organizations in providing housing for homeless and low income persons with HIV/AIDS.
	<b>Target Date</b>	6/28/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	65 individual and/or family special needs households. (40 Transitional; 25 Permanent Supportive/Independent)
	<b>Location Description</b>	Maricopa and Pinal Counties
	<b>Planned Activities</b>	Funds are used to create and/or operate emergency, short-term supportive, transitional and/or permanent supportive/independent housing. Funds assist with acquisition, rehabilitation, leasing, rental and/or operating assistance of facilities serving persons with HIV/AIDS.
<b>36</b>	<b>Project Name</b>	HOPWA Housing Information Services(Housing Coordinator) and Ombudsman(Housing Advocate)
	<b>Target Area</b>	
	<b>Goals Supported</b>	Services For Persons With HIV/AIDS.

	<b>Needs Addressed</b>	Homeless & Special Needs Shelter & Support.
	<b>Funding</b>	HOPWA: \$147,000 HOPWA Prior Year Funding: \$27,850
	<b>Description</b>	HOPWA Housing Information Services and Ombudsman provides funds to employ a Housing Coordinator and a Housing Advocate.
	<b>Target Date</b>	6/28/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	250 persons with HIV/AIDS
	<b>Location Description</b>	Maricopa and Pinal Counties
	<b>Planned Activities</b>	The Housing Information Services (Housing Coordinator) will assist people living with HIV/AIDS to match their housing needs with appropriate housing providers in Maricopa and Pinal Counties. The Housing Advocate will be funded to help HIV/AIDS clients and their families maintain housing stability by helping them resolve landlord/tenant issues and assist with additional supportive services and referrals.
<b>37</b>	<b>Project Name</b>	HOPWA Employment Services
	<b>Target Area</b>	
	<b>Goals Supported</b>	Services For Persons With HIV/AIDS.
	<b>Needs Addressed</b>	Homeless & Special Needs Shelter & Support.
	<b>Funding</b>	HOPWA: \$103,284 HOPWA Prior Year Funding: \$14,716
	<b>Description</b>	HOPWA Employment Services supports funding for non-profit staff to assist HOPWA clients with accessing employment
	<b>Target Date</b>	6/28/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	100 persons with HIV/AIDS assisted.
	<b>Location Description</b>	Maricopa and Pinal Counties

	<b>Planned Activities</b>	Provide support for an Employment Services Specialist(s). Funds will be used to support nonprofit staff to help HOPWA clients' access services, information and referrals that promote employment and self-sufficiency. Services and referrals could include life skills training, GED preparation and completion, college classes and job training.
38	<b>Project Name</b>	HOPWA Permanent Housing Placement (PHP)
	<b>Target Area</b>	
	<b>Goals Supported</b>	Services For Persons With HIV/AIDS.
	<b>Needs Addressed</b>	Homeless & Special Needs Shelter & Support.
	<b>Funding</b>	HOPWA: \$42,150 HOPWA Prior Year Funding: \$15,200
	<b>Description</b>	HOPWA Permanent Housing Placement(PHP) program assists HOPWA clients with move in costs such as utility and security deposits.
	<b>Target Date</b>	6/28/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	50 individual and/or family special needs households.
	<b>Location Description</b>	Maricopa and Pinal Counties
<b>Planned Activities</b>	Permanent housing placement funds will be used to help HOPWA clients with move-in costs such as utility and rent deposits to ensure that families and persons living with HIV/AIDS can take advantage of affordable housing opportunities as they become available.	
39	<b>Project Name</b>	HOPWA Program Management and Coordination
	<b>Target Area</b>	
	<b>Goals Supported</b>	
	<b>Needs Addressed</b>	Homeless Prevention & Emergency Assistance. Homeless & Special Needs Shelter & Support.
	<b>Funding</b>	HOPWA: \$111,362
	<b>Description</b>	Assists in the program management and coordination of HOPWA.
	<b>Target Date</b>	6/28/2019

	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	
	<b>Location Description</b>	
	<b>Planned Activities</b>	Provides administration and resource identification for the HOPWA program.
<b>40</b>	<b>Project Name</b>	HOME Program Management and Coordination
	<b>Target Area</b>	
	<b>Goals Supported</b>	Foster Homeownership Opportunities. Rental Housing Production and/or Rehabilitation. Homewoner Housing Rehabilitation Support.

	<b>Needs Addressed</b>	Homeownership Opportunities. Rental Housing Production. Rental Subsidy Support. Homeowner Housing Rehabilitation Support.
	<b>Funding</b>	HOME: \$586,719
	<b>Description</b>	HOME Program Administration
	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	
	<b>Location Description</b>	Citywide
	<b>Planned Activities</b>	Administration of the HOME Program
41	<b>Project Name</b>	HOME CHDO Set Aside
	<b>Target Area</b>	
	<b>Goals Supported</b>	Rental Housing Production and/or Rehabilitation.
	<b>Needs Addressed</b>	Rental Housing Production.
	<b>Funding</b>	HOME: \$880,079
	<b>Description</b>	HOME CHDO Set Aside. Funding provided to certified eligible CHDO's completing CHDO eligible activities. Rehabilitate or construct 54 affordable rental units for very-low income individuals and/or family households.
	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Approximately 54 affordable rental housing units for very-low income individuals and/or family households at or below 50% and 60% of the Area Median Income.
	<b>Location Description</b>	Citywide

	<b>Planned Activities</b>	Acquisition and/or rehabilitation of rental housing. New construction of rental housing. Acquisition and/or rehabilitation of homebuyer properties. New construction of homebuyer properties. Direct financial assistance to purchasers of HOME financial housing sponsored or developed by a CHDO with HOME funds.
<b>42</b>	<b>Project Name</b>	HOME Multifamily Rental Projects
	<b>Target Area</b>	
	<b>Goals Supported</b>	Rental Housing Production and/or Rehabilitation.
	<b>Needs Addressed</b>	Rental Housing Production.
	<b>Funding</b>	HOME: \$3,143,574 LIHTC: \$56,234,762 HOME Program Income: \$1,102,391
	<b>Description</b>	HOME Multifamily Rental Projects including Special Needs projects.
	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	254 rental housing units for very-low income individuals and/or family households at or below 50% and 60% of the Area Median Income. HOME units may also serve homeless and non-homeless special needs populations.
	<b>Location Description</b>	Citywide
	<b>Planned Activities</b>	Acquisition and/or rehabilitation of multifamily properties. New construction of multifamily properties. Special needs multifamily rental housing with supportive services. Refinancing of existing rental projects.
<b>43</b>	<b>Project Name</b>	HOME Housing Rehabilitation and Reconstruction
	<b>Target Area</b>	
	<b>Goals Supported</b>	Homewoner Housing Rehabilitation Support.
	<b>Needs Addressed</b>	Homeowner Housing Rehabilitation Support. Neighborhood Revitalization Efforts.
	<b>Funding</b>	HOME: \$700,000

	<b>Description</b>	Citywide program to provide financial assistance (in the form of grants/loans, or other) to eligible low to moderate income homeowners. The program addresses non-emergency home repairs to stabilize critical home systems (electrical, mechanical, plumbing, roofing) to remediate lead hazards, to improve energy efficiency, and to meet healthy homes standards. Related relocation expenses may be provided if funds are available.
	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	At least 3 owner-occupied homes will be rehabilitated with this HOME allocation, assisting at least 3 low-income families.
	<b>Location Description</b>	Citywide, priority may be provided in city-designated target areas.
	<b>Planned Activities</b>	Owner-occupied housing rehabilitation and/or reconstruction. Activities may include: reconstruction of dilapidated homes determined to be infeasible to rehab; demolition of illegal structures/ stand-alone structures; exterior improvements such as sidewalks, exterior paint, driveways, garages, fence, minor landscaping to assure exterior of property is compliant with the Neighborhood Preservation and Zoning Ordinance etc. HOME funds may be leveraged with CDBG funds and supplement federal and federal and private funding from the Weatherization Assistance Program.
44	<b>Project Name</b>	HOME Special Projects
	<b>Target Area</b>	
	<b>Goals Supported</b>	Foster Homeownership Opportunities. Rental Housing Production and/or Rehabilitation.
	<b>Needs Addressed</b>	Homeownership Opportunities. Rental Housing Production. Rental Subsidy Support.
	<b>Funding</b>	HOME: \$556,824
	<b>Description</b>	HOME Special Projects including Homeownership Assistance and Tenant Based Rental Assistance
	<b>Target Date</b>	



	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	2 individuals and/or family households assisted with homeownership assistance funding. 30 individuals or households assisted through Tenant Based Rental Assistance funding.
	<b>Location Description</b>	Citywide
	<b>Planned Activities</b>	Homeownership Assistance such as down payment and closing cost assistance. Acquisition and/or rehabilitation of homebuyer properties. New construction of homebuyer properties. Tenant based rental assistance.
45	<b>Project Name</b>	CDBG Homeless Street Outreach
	<b>Target Area</b>	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY Issac Neighborhood Revitalization Strategy Area
	<b>Goals Supported</b>	Public Services Support.
	<b>Needs Addressed</b>	Homeless Prevention & Emergency Assistance.
	<b>Funding</b>	CDBG: \$325,000
	<b>Description</b>	CDBG funds will be used to fund outreach workers who will conduct a needs assessment and provide referral services to persons who identify as homeless. Vendor to provide services was procured through a request for proposal process.
	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	It is estimated that 1,000 homeless individuals will receive outreach and referral services during the fiscal year.
	<b>Location Description</b>	Citywide
<b>Planned Activities</b>	This contract will provide outreach and engagement, case management and emergency medical and behavioral health services.	
	<b>Project Name</b>	CDBG Phoenix Starfish Place

46	<b>Target Area</b>	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY Issac Neighborhood Revitalization Strategy Area
	<b>Goals Supported</b>	Public Services Support.
	<b>Needs Addressed</b>	Public Services Support.
	<b>Funding</b>	CDBG: \$150,000
	<b>Description</b>	Phoenix Starfish Place is a facility with supportive services for individuals and their families seeking to leave a human trafficking or sex trafficking situation. CDBG funds will be used to provide supportive services including on-site and outreach staff.
	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	It is estimated that seven individuals and their families will be served during the fiscal year.
	<b>Location Description</b>	The facility is a confidential location in the city of Phoenix.
	<b>Planned Activities</b>	Phoenix Starfish Place will provide permanent supportive housing for victims of sex trafficking. Two full-time case managers will provide trauma informed case management and support services onsite to resident households. Additional services coordinated by Arizona State University and provided by a variety of community based provider agencies will also be available to resident households.
47	<b>Project Name</b>	CDBG HSD Homeless Project
	<b>Target Area</b>	Phoenix Promise Zone PHOENIX ENTERPRISE COMMUNITY Issac Neighborhood Revitalization Strategy Area
	<b>Goals Supported</b>	Public Services Support.
	<b>Needs Addressed</b>	Homeless Prevention & Emergency Assistance.
	<b>Funding</b>	CDBG: \$125,000

<b>Description</b>	CDBG funds will provide counseling services for the approximately 67 children living in the 33 housing units at Aeroterra. These units are set aside for persons experiencing homelessness. The Human Services Department currently provides case management for those households that is primarily directed toward adults to assist with life skills, job attainment, educational opportunities and medical/mental health services. Over the past year, the Housing Department has experienced issues with many of the children having issues adjusting to the new living conditions, resulting in property damage and aggressive behavior toward other children which has, in turn, resulted in some families facing eviction. Providing targeted on-site counseling services for youth experiencing difficulty adjusting to permanent housing and expectations would aid in the successful long-term housing of these families.
<b>Target Date</b>	6/30/2019
<b>Estimate the number and type of families that will benefit from the proposed activities</b>	It is estimated that 67 individuals/homeless families will benefit from the case management/wrap around services during the program year.
<b>Location Description</b>	The services will be provided to residents who live at the Aeroterra public housing community.
<b>Planned Activities</b>	The services to be provided include case management, emergency assistance, employment assistance services, and wrap-around services.

## **AP-50 Geographic Distribution – 91.220(f)**

### **Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed**

Assistance will be provided throughout the low-income City of Phoenix census tracts and directly to low- and moderate-income residents, including programs committed in the Phoenix Choice Neighborhoods Implementation Grant application, and Strategic Code Enforcement activities in the West Phoenix Revitalization Area, South Phoenix Village, Target Area B and Garfield neighborhoods.

### **Geographic Distribution**

<b>Target Area</b>	<b>Percentage of Funds</b>
Phoenix Promise Zone	
PHOENIX ENTERPRISE COMMUNITY	30
Issac Neighborhood Revitalization Strategy Area	3

**Table 8 - Geographic Distribution**

### **Rationale for the priorities for allocating investments geographically**

Funding is generally used throughout the City of Phoenix in low-income areas, however funding may be prioritized for specific targeted areas, such as deteriorated and deteriorating areas for Code Enforcement activities, or as leverage for other funding opportunities, such as the designated area in the Phoenix Choice Neighborhoods Implementation Grant application.

### **Discussion**

The identified areas include the neighborhoods and communities where the need is the greatest in Phoenix.

## Affordable Housing

### AP-55 Affordable Housing – 91.220(g)

#### Introduction

The need for affordable housing in Phoenix for lower-income persons with a broad range of needs is well documented. The activities proposed to be supported with HOME and HOPWA funds are all housing priority activities as described in the 2015-2020 Consolidated Plan.

<b>One Year Goals for the Number of Households to be Supported</b>	
Homeless	18
Non-Homeless	290
Special-Needs	278
Total	586

**Table 9 - One Year Goals for Affordable Housing by Support Requirement**

<b>One Year Goals for the Number of Households Supported Through</b>	
Rental Assistance	115
The Production of New Units	303
Rehab of Existing Units	53
Acquisition of Existing Units	0
Total	471

**Table 10 - One Year Goals for Affordable Housing by Support Type**

#### Discussion

HOPWA funds for affordable housing will support 215 special needs households with 175 of those households supported through either project based or tenant based rental assistance. The remaining 40 households are supported through transitional and/or short term housing.

HOME funds for affordable housing will support 245 non-homeless households and 45 special needs households, for a total of 290 households. Of the 290 households, 15 will be supported through tenant based rental assistance, 254 through production of new units, 7 through rehab of existing units, and 14 through direct closing cost/down payment assistance to homebuyers.

CDBG funds for affordable housing will support 46 special needs households. All units will be supported through the rehabilitation of existing units.

The Section 32 program will assist 35 first-time homebuyers become homeowners.



## **AP-60 Public Housing – 91.220(h)**

### **Introduction**

Unlike most areas in the country, Phoenix's Public Housing Authority (PHA) is contained within the governmental structure of the City of Phoenix as its Housing Department. The majority of the Housing Department's activities are those of a traditional PHA. The Housing Department Director reports to an executive in the City Manager's Office who then reports to the City Manager. All Housing Department employees, including those who provide public housing services, are hired in accordance with the City's civil service rules and regulations.

Contracting and procurement by the Housing Department is also accomplished through the City's Administrative Rules. Any federal considerations are incorporated into the contracting and procurement processes. Services benefitting the Housing Department and its tenants that are funded by the City of Phoenix include various recreation programs through the Parks and Recreation and Library departments and case management services from the Human Services Department.

Any proposed development sites, which are selected in accordance with the relevant policies in the Consolidated Plan, must be approved by the City Council before they are purchased. The City's Five Year and Annual Public Housing Authority (PHA) Plan is also approved by the City Council.

### **Actions planned during the next year to address the needs to public housing**

For the 2018-19 Fiscal Year, the City of Phoenix Housing Department will submit an Annual Statement allocation plan to HUD for the Capital Fund Program (CFP) formula grant for \$2,635,000 to continue the capital improvements of public housing and to support the City's move toward Resident Assistant Demonstration (RAD) conversion. The activities in the capital improvement plan include: replacement of the fire alarm system at Pine Towers Apartments, funds set aside for RAD conversion at Washington Manor and Foothills Village Apartments and operation, administration and professional service funds. The \$1,500,000 in set aside RAD funds will be used to fund eligible activities during the RAD conversion. These renovations will impact over 468 apartments in our public housing rental programs.

### **Choice Neighborhoods**

The Housing Department was awarded \$1.5 million through the Choice Neighborhoods (CN) Initiative from the U.S. Department of Housing and Urban Development (HUD) to stimulate affordable housing and economic growth in the Edison-Eastlake community (EEC). Within this community, there are three public housing developments – Sidney P. Osborn Homes, A.L. Krohn Homes, and Frank Luke Homes totaling 577 units and suffering from mold, failing systems, and an isolating design. The goal is to improve resident quality of life, especially in education and health. Using an EEC One Vision Plan as a blueprint, the Phoenix will be able to achieve these goals and use \$1 million of the Action Activity Grant to leverage and finance innovative community and economic development activities that will help kick

start neighborhood change. These activities include 1) Edison Park community gathering venue; 2) Edison-Eastlake Place-Making art and signs; and 3) bus shelter art and shade enhancements at a planned Gateway Transit Node. Additionally, a CN Implementation Grant application for up to \$30 million was submitted in fall 2017 and if awarded, the grant will support the implementation of the EEC One Vision Plan. Notification of the grant awards are anticipated to occur in summer 2018.

### **Rental Assistance Demonstration (RAD)**

Rental Assistance Demonstration (RAD) Program enables the Housing Department to convert public housing units from a public housing operating subsidy to a long-term, renewable, project-based Section 8 rental assistance contract. The RAD Program will help revitalize aging public housing properties and address the backlog of deferred maintenance and capital improvements, as well as provide for future financial sustainability and continued affordability.

The City of Phoenix Housing Department submitted comprehensive RAD program applications in September 2016 for all four East AMP properties (A.L Krohn Homes, Frank Luke Homes, A.L. Krohn East and Sidney P. Osborn), Foothills Village Apartments and Scattered Sites, included as part of a larger department portfolio application. The Housing Department received RAD approval from HUD for all four East AMP properties and Foothills Village. The Housing Department published an RFP for a co-development partner for A.L. Krohn East and Foothills Village in 2017 and Gorman & Company, Inc was selected. An application for Low Income Housing Tax Credits (LIHTC) will be submitted in FY2017-2018 for the redevelopment of A.L. Krohn East, and for the substantial rehabilitation of the 200-unit Foothills Village property. A.L Krohn Homes, Frank Luke Homes, and Sidney P. Osborn public housing communities are part of the Choice Neighborhoods Planning and Action Grant which will be combined with the RAD conversion. RAD applications for Aeroterra Senior, McCarty on Monroe, and Washington Manor will be submitted to HUD in Spring, 2018.

### **Actions to encourage public housing residents to become more involved in management and participate in homeownership**

The Housing Department has been approved to sell two hundred ninety-nine homes from the City of Phoenix public housing portfolio to low-income first-time homebuyers. Families must maintain the home as their permanent residence for ten years to receive a forgivable down payment assistance loan equal to a 20% discount off the home's appraised value. Thirty-five (35) homes were sold during FY 2017/2018. The Housing Department is projecting to assist more than 35 families during FY 2018/2019

### **If the PHA is designated as troubled, describe the manner in which financial assistance will be**



## **provided or other assistance**

The PHA is not designated as troubled.

## **Discussion**

The Housing Department's Supportive Service (HSS) Program is fully committed to programs that promote economic opportunity and social integration for residents of public and assisted housing. The Housing Department started its resident development programs in 1989 and now has more than 1,000 residents participating in one or more activities. HSS has a Coalition of Service Providers (CSP) that consists of over 60 social service, education, employment and health care providers who partner to assist residents to become self-sufficient and/or enhance their quality of life.

HSS provides the following programs:

Family Self Sufficiency (FSS) Program with dedicated case management staff, link assisted-housing families to social services that promote economic growth and financial independence. Since 1998, over 100 participants have become homeowners.

Neighborhood Network Centers are located at family and senior public housing communities. These centers offer technology enrichment and resources for households who do not have personal access to a computer or the internet. Open lab, tutoring, employment related assistance and other directed activities are available.

On-site, Senior Service Coordinators are placed at each Senior Housing site dedicated to assisting elderly and disabled residents in maintaining independent living. The coordinators provide or coordinate with local resources assistance for residents with: business and/or medical correspondence, meals, emergency food boxes, counseling, transportation, nutrition, employment, financial and medical assistance, housekeeping, etc.

The Community and Supportive Services (CSS) Program embraces a holistic revitalization process at HOPE VI properties in partnership with the developer and property management team by furnishing the social services that improve the quality of life within the new homes. This is done through case management and CSP partner services. The program has multiple community centers that include: the Emmett Mccloughlin Community Training and Education Center, Aeroterra Community Center and Aeroterra Family Resource Center. At these sites participants, can get employment assistance, attain a GED or take other classes that are offered. Through the Early Childhood Education initiative parents of children ages 0 -5 are encouraged to enroll their children in a best-practice early childhood education program. The Health Initiative Program is an interactive program that promotes behavior and lifestyle changes including cooking healthier and exercising.

The ROSS for Education Demonstration Program provides grant funding to public housing authorities to

deploy education navigators to provide individualized assistance to public housing youth between the ages of 15-20 and their families in FAFSA completion, financial literacy and college readiness, post-secondary program applications and post-acceptance assistance.

The Jobs Plus/ iWORK Initiative develops locally-based, job driven approaches to increase earnings and advance employment outcomes through work readiness, employer linkages, job placement, educational advancement technology skills, and financial literacy for residents of Marcos de Niza.

## **AP-65 Homeless and Other Special Needs Activities – 91.220(i)**

### **Introduction**

The City of Phoenix is highly engaged in local and regional planning efforts and activities to reduce and end homelessness. Outcomes are achieved through collaborations and partnerships with internal and external partners from diverse fields and organizations. Internally, City departments including Housing, Human Services; Neighborhood Services, Police, Fire, Parks and Recreation, Libraries, Courts, and others work together to coordinate services and leverage resources. Similarly, the City is an active participant in the regional Continuum of Care facilitated by the Maricopa Association of Governments, and works with a multitude of external organizations including state and county government; private and non-profit organizations; and the faith community to achieve collective impact. Services and support to end homelessness are provided directly through City staff and through contracts and agreements with sub recipient organizations.

### **Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including**

#### **Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

The City supports the delivery of outreach services utilizing ESG funds through sub recipient contracts established in July 2013 for up to five years. The partnership between the Human Services and Housing departments connects up to 75 unsheltered homeless individuals to permanent housing through outreach, housing stabilization and support services, and rent/utility deposits and rent subsidies.

In addition, city staff provides direct outreach and engagement services through an innovative partnership between the Human Services, Police, Neighborhood Services, and Public Works departments, which joined forces to address significantly increased numbers of homeless encampments throughout the city. The newly formed city team, in partnership with community based crisis intervention and outreach providers work in coordination to eliminate homeless encampments and reconnect individuals to housing and services through a combination of support, enforcement and abatement strategies.

Finally, the city leads the Maricopa County Outreach Collaborative which develops and supports implementation of the regional Standards of Excellence for street outreach services and coordinates regional outreach activities and initiatives. The city also supports and participates in Project Connect events coordinated by the Valley of the Sun United Way to connect or reconnect persons

experiencing homelessness with services.

### **Addressing the emergency shelter and transitional housing needs of homeless persons**

Since 2009, the City has supported the provision of year-round emergency shelter for 15 to 17 families and 120 single woman experiencing homelessness each night at the Watkins, a City owned and operated facility at 1120 W. Watkins, Phoenix, AZ 85007, was built in 1965 and repurposed for seasonal emergency overflow services in 1986. The current non-profit service provider was selected through a competitive procurement process. However, based on a number of factors including the age and location of the facility; community needs and priorities; and best practices in ending homelessness, the City has determined the current service delivery model is no longer the most effective use of resources. As a result, the City is discontinuing use of the Watkins facility and contract with a non-profit provider or providers to continue delivery of Emergency Shelter services described in a privately owned and operated facility or facilities.

It is the City's intent to continue supporting emergency shelter for 15-17 families and 120 single women experiencing homelessness each night utilizing ESG and CDBG funds currently supporting Emergency Shelter at Watkins. The new contracted services will commence July 1, 2017.

The City also supports emergency shelter services for individuals and families through multiple sub recipient contracts with non-profit providers including Central Arizona Shelter Services (450 single individuals); The Salvation Army (11 families); UMOM New Day Center (76 families); Chrysalis (320 families who are victims of domestic violence), and UMOM/Tumbleweed Youth Development (10 unaccompanied youth).

In alignment with the Maricopa Continuum of Care, the City supports regional efforts to minimize the length of time homeless through a Housing First approach. To achieve this goal, the City is prioritizing resources to rapid rehousing interventions, which tend to be more flexible and efficient than traditional transitional housing models, which can be time and resource intensive.

### **Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

The Maricopa County region has implemented a Coordinated Entry System with the goal of prioritizing resources to those who are most vulnerable and minimizing length of time homeless. The Maricopa County System includes four primary points of entry: 1) The Family Hub for families with children; 2) the Welcome Center for single men and women; 3) Centralized Screening for victims of domestic violence;

and 4) an entry point for unaccompanied youth (in progress). The Continuum of Care has adopted the Service Prioritization Decision Assessment Tool (SPDAT) as the regional housing needs assessment tool. Individuals, families and unaccompanied youth are being prioritized for housing and services based on acuity, chronicity and length of time homeless.

The City of Phoenix Human Services and Housing Departments have partnered to provide permanent supportive housing to 33 chronically homeless singles and families with a preference for veterans at the Housing Department's new public housing site called Aeroterra. Aeroterra, formerly known as Luke Krohn, is a unique, affordable master-planned housing development that offers housing to mixed income individuals, families, and seniors. The 33 chronically homeless singles and families are identified and referred through the regional Coordinated Entry System as established by the Maricopa Association of Government Regional Continuum of Care. In addition to providing permanent supportive housing, intensive case management services are offered on-site at Aeroterra to ensure the 33 chronically homeless singles and families receive the support and resources needed to transition from homelessness to housed and thriving.

The Human Services and Housing Departments will continue their partnership with the Veterans Administration (VA) in the coming year to support move-in assistance and rent/utility deposits for Veterans receiving VASH vouchers. Through this partnership, the lease-up process has decreased to as little as 30-days from identification to housing. The city will also continue leadership of the 25 Cities initiative to end homelessness for all veterans.

**Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.**

The Human Services department addresses housing and service needs through various services and programs. The three Phoenix Family Services Centers maintain year-round capacity for providing financial assistance to clients through a voucher system, by utilizing a broad base of funding resources. Through this voucher system, Family Services Center staff are able to assist clients with eviction and foreclosure prevention services, as well as assist families move into more affordable or adequate living environments by assisting with move-in deposits and rental assistance. The department also partners closely with the organizations that provide behavioral health and detox services to individuals and families in crisis.

## **Discussion**

The focus of the 2017-18 year for the City of Phoenix is the impact of innovative collaboration and

partnerships focused on regional impact. Through work with the Continuum of Care, the region is aligning contracted services for Emergency Shelter, Outreach and Rapid Re-Housing through the ESG Collaborative. This alignment will improve regional reporting and will enable providers to utilize consistent measurements and report the same outcomes regardless of the funding source. This alignment will ultimately lead to the ability to “right size” interventions and ensure resources are utilized where they are needed most.

**AP-70 HOPWA Goals– 91.220 (I)(3)**

<b>One year goals for the number of households to be provided housing through the use of HOPWA for:</b>	
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family	45
Tenant-based rental assistance	100
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	75
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds	40
<b>Total</b>	<b>260</b>

## **AP-75 Barriers to affordable housing – 91.220(j)**

### **Introduction:**

This narrative explains the degree to which the cost of housing incentives to develop and maintain affordable housing are impacted by public policies that could include, but are not limited to, tax policies, land use, zoning ordinances, building codes, fees, growth limits and other policies.

However, the public policies stated above serve as an important function in controlling the type and quality of growth in Phoenix. The City has knowingly chosen to require that housing developments within Phoenix adhere to specific policies governing overall City quality of life as well as high quality housing products.

### **Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment**

The City may undertake any of the following actions to help foster the removal of barriers for affordable housing production. Actions to implement the strategies may vary during this reporting year:

- Ongoing availability of down payment and closing cost assistance for first-time homebuyers at or below 80% area median income
- Ensure housing needs of special needs populations are met by setting aside funding for specialized projects
- Competition for Low-income Housing Tax Credits (LIHTC) for the purpose of replacing aging public housing units with new, energy efficient units
- Acquisition of properties by the City through its non-profit organization, Phoenix Residential Investment Development Effort (PRIDE)
- Inclusion of a refinancing option using HOME funds for non-profit developers/owners of affordable housing who include major rehabilitation to the property
- Willingness to provide loan modifications for City loans when cash flow problems are not caused by poor financial or property management
- Provide HOME and CDBG funds for aging properties with rent restricted units which need major rehabilitation
- Participation in Choice Neighborhoods Program by which public housing units can be increased and replaced with updated units
- Utilize the RAD program to redevelop Public Housing units
- City Council authorization of fee waivers and expedited services for affordable housing



development

- Project-based Section 8 Housing Choice Vouchers and public housing units
- Utilization of VASH Vouchers

### **Discussion:**

In order to overcome the barriers individual lower-income homebuyers face trying to accumulate sufficient funds to purchase housing, the Housing Department provides funding for down payment and closing costs in the form of soft second loans. The City assists lower-income homebuyers to overcome these barriers by developing homeownership programs that are effective in assisting families to purchase homes.

The City of Phoenix Housing Department submitted a Choice Neighborhoods (CN) Planning Grant application to HUD in February 2016. The CN Planning Grant program provides funds to assist applicants in completing a comprehensive neighborhood planning process aligned with the three core goals of Choice Neighborhoods (Housing, People, and Neighborhoods). The two year grants will support the development of a comprehensive Transformation Plan. The target area for CN is the Edison-Eastlake Choice Neighborhoods Community, which includes three public housing target properties: Sidney P. Osborn, Frank Luke Homes and A.L. Krohn Homes. The City intends to continue focusing on redevelopment of its aged, obsolete public housing communities including the three in the CN target area. The City will also apply to the HUD Rental Assistance Demonstration (RAD) Program to further enhance and sustain properties within its portfolio.

The Open Doors Initiative Loan Program launched in September 2010 and is a City-wide program targeting first-time homebuyers. Eligible families receive up to \$15,000 down payment assistance zero percent interest loan and must use the home as their primary residence during the period of affordability. The Housing Department contracted with HUD-approved counseling agencies to provide pre- and post-purchase counseling; assist the family in obtaining a mortgage and work with lenders and title agencies to help families through the escrow closing.

The City has also increased affordable units by overcoming barriers that limited the City from certain acquisition opportunities through the creation of the Phoenix Residential Investment Development Effort (PRIDE) Board, a 501c3 nonprofit agency. PRIDE was created by the City to pursue development or acquisition opportunities to increase the supply of affordable housing for low- and moderate-income families. As a non-profit entity, PRIDE is able to access various funding sources not directly available to the City or to acquire/construct single- and multi-family housing.

The Housing Department may consider refinancing as an investment strategy for acquisition and/or rehabilitation of affordable rental projects sponsored by nonprofit housing developers. Priority will be given to projects already supported by City funds. While refinancing may be an eligible activity in the preservation of affordable rental housing, it will not be eligible as the primary purpose of the Department's refinancing investment strategy. "Taking out" or "cashing out" by developer/borrower of

capital equity will not be permitted under the Department's refinancing investment strategy.

## **AP-85 Other Actions – 91.220(k)**

### **Introduction:**

This narrative provides details on how the City of Phoenix is addressing community's needs through other actions not previously identified in the Annual Action Plan.

### **Actions planned to address obstacles to meeting underserved needs**

The City of Phoenix Housing Department is facing a great challenge in serving families at 0% to 50% of median income. The city's wait lists for all its assisted housing programs – public housing, senior housing, and the Housing Choice Voucher program – have many more families than can be served in a reasonable length of time. After purging of the list the Housing Choice Voucher Program Wait List the Housing Department opened in August of 2016, the waitlist currently has 10,000 applications. Public housing wait lists total to 18,092 households. The waitlist for Phase 2 of the Frank Luke Addition HOPE VI project was opened in April 2016, over 500 applications were received for 56 apartment units. According to the 2015-2020 Consolidated Plan, virtually every agency serving persons with special needs (i.e., frail elderly, homeless, victims of domestic violence, persons with disabilities, persons living with HIV/AIDS, etc.) consistently report a high unmet need for affordable housing. These agencies receive numerous calls every month from family members, caseworkers and clients seeking affordable housing.

Another indication of the housing affordability problem is found in a 2017 report by the National Low-income Housing Coalition. In the report it was stated Arizona has only 26 affordable units available for every 100 households earning below 30% median income (Extremely Low Income). Over 80% of Arizona's Extremely Low Income renters face severe housing cost burden. For example, a family of four earning \$23,850 will spend over half of their earnings toward housing, leaving little to meet other critical needs such as food, clothing, utilities and transportation costs.

Additionally, in the Annual Report on Homelessness 2016 by the Arizona Department of 22,092 individuals who experienced homelessness in Arizona during the 2016 State Fiscal Year (SFY). The largest population of individuals experiencing homelessness is in Maricopa County which accounts for slightly over 54% of the state's homeless population.

The availability of affordable housing for very-low-income individuals on minimum wage or living on SSI payments is quickly diminishing. This unavailability of affordable housing at the very-low-income level can only exacerbate the problem of homelessness.

In order to strengthen the delivery system or fill gaps in services currently being provided, the City will encourage private participation in the form of nonprofit entities and additional leveraged funds. The City will also continue to build partnerships with other governmental agencies and municipalities facing

these regional problem.

### **Actions planned to foster and maintain affordable housing**

The City uses HOME and CDBG funds for the acquisition, construction and/or rehabilitation of affordable rental housing units. A public Call for Interest is released annually to invite the affordable housing development community to request funding. The City also works with the Phoenix Industrial Development Authority who provides tax-exempt bond funding and the State of Arizona Department of Housing which provides Low-income Housing Tax Credits to create affordable housing opportunities in Phoenix.

The resulting affordable housing units compliment the continuum of housing bridging the availability of affordable housing choices between market rate and public housing. Affordable Housing program goals include: Preservation of Housing Department investments (and avoid HUD repayment requirements) and achieve continued affordability; Improvement of distressed (crime or blight), foreclosed properties; Creation and expansion of long term affordability; Investment in location-critical properties, such as those near employment centers and transportation as well as in areas lacking affordable rental units; Leveraging of federal funding with private equity and investment.

The Housing Department administers a down payment assistance program for first-time homebuyers, the Open Doors Initiative Loan Program. Eligible families can receive up to \$15,000 in down payment assistance in the form of a zero interest due on sale loan as long as the home remains the family's principal residence during the fifteen-year affordability period. The Housing Department contracts with housing counseling agencies to provide pre- and post-purchase counseling; assist the family in obtaining a mortgage, and work with lenders and title agencies to help families through the closing.

Persons in the low and moderate income ranges face barriers such as: a) the inability to accumulate enough funds for down payment and closing costs; b) lack of knowledge on how to purchase a home, and c) inadequate credit histories or difficulty meeting qualifying criteria.

The City funds homeownership assistance programs that: provide down payment/closing cost assistance as 0% interest soft-second loans; construct new homes in neighborhoods undergoing revitalization as part of comprehensive efforts; utilize mortgage financing as a vehicle to lower interest rates; and increase overall community participation in developing homeownership opportunities. Annual Action Plan 2015 69 OMB Control No: 2506-0117 (exp. 07/31/2015)

The Housing Department's homeownership programs help assisted-housing residents and the general public become first-time homeowners by providing the following:

- Pre-purchase Home Buyers' Education: This eight hour class provides families with the basics of buying and owning a home including renting versus owning; predatory lending; mortgage terms,

and the importance of credit and basic credit repair.

- Financial literacy: Families may choose a financial literacy program that meets their needs, however, families must establish a budget upon completion of training.
- One-on-One pre-purchase homeownership counseling: A HUD-approved counseling agency reviews family credit, debts and income, and helps prepares families to successfully obtain a mortgage and guide them through the purchasing process.

### **Actions planned to reduce lead-based paint hazards**

The reduction of Lead Based Paint (LBP) hazards is a continuing priority and objective in Phoenix. Phoenix housing rehabilitation programs funded with HUD resources provide assistance to homeowners to protect children and their families from the hazards of lead-based paint. Initial home rehabilitation procedures have EPA certified Lead Based Paint (LBP) inspectors perform inspections/assessments to test for lead hazards on properties built prior to January 1st, 1978. As needed, EPA certified lead abatement contractors provide remediation and abatement of lead hazards while residents are temporarily relocated until the unit has passed clearance. EPA certified housing rehabilitation specialists prepare scopes of work and monitors all work performed. Lead-safe housing units are listed in a publicly accessible rental registry website.

The city conducts a bi-level educational program with its partners for parents of high-risk children and leaders in communities at high-risk for lead poisoning and a professional level educational process for physicians, nurses, housing staff, teachers, landlords and other key persons. The objective is to reduce the exposure of children to lead hazards through interventions that can be accomplished by parents and to encourage safe maintenance of lead paint in older housing.

The city collaborates with county and state health service departments to provide parent/caregiver education for any child with an elevated blood lead level, deliver a comprehensive environmental investigation for any child identified to have a moderate to severe blood lead level, and sharing data with the City, such as information about blood lead level results, unusual lead sources, or areas with high lead poisoning rates. These partnerships serve to advance policies and programs that will reduce lead exposures and fully eliminate environmental lead hazards in Arizona.

### **Actions planned to reduce the number of poverty-level families**

Family Self Sufficiency Program - The City's Housing Department use CDBG funds in combination with other public and private funds for counseling, education, on the job training, and job placement of public housing tenants. The goal is to assist tenants to become self-sufficient and transition out of public housing.

**The Earned Income Tax Credit (EITC) Campaign** - The City of Phoenix Human Services Department continues to administer a Volunteer Income Tax Assistance (VITA) program focusing on assuring low-

income household receive free tax preparation services including filing for the Earned Income Tax Credit (EITC). EITC has been proven to be the largest and most effective antipoverty programs in our nation. In 2016, reports indicate the 268 volunteers prepared returns for 4,828 households with over \$6.7 million in federal returns. The refunds not only provide financial relief to the recipients, but are an economic benefit to the entire community.

**Family Services Centers**-The Human Services Department offers a variety of social services to low-income households, experiencing crisis. Services are provided through three family services centers, geographically dispersed throughout the city. The Family Services Centers maintain year-round capacity for providing financial assistance to clients through a voucher system, by utilizing a broad base of funding resources. Through this voucher system, Family Services Center staff are able to assist clients with utilities, eviction and foreclosure prevention services, as well as assist families move into more affordable or adequate living environments by assisting with move-in deposits and rental assistance.

**Rapid Re-Housing** - COP will provide Rapid Re-housing Services to those clients who meet the Category 1 definition of Homeless by targeting chronically homeless individual veterans participating in the VASH program, and homeless individuals and families.

### **Actions planned to develop institutional structure**

The City of Phoenix works closely with its public nonprofit and private partners in order to develop institutional structure. The opportunities described below will be explored in order to further develop the institutional structure:

Explore alternative funding sources to tackle affordable housing, homeless, supportive housing and non-housing demand. Deliver focused technical assistance to encourage affordable housing for lower income persons in addition to special needs and homeless persons as well as priority community development needs. Continue to foster the participation of an increasing number of private and non-profit entities to deliver affordable and special needs housing. Increase and fortify partnerships with the development community to focus and innovatively attend to affordable housing needs and issues. Utilize public funds with other private, non-profit, foundation and other alternative sources to stimulate affordable housing, homeless and special needs housing production as well as neighborhood revitalization and stabilization. Work cooperatively on homeless and supportive housing issues through the 'continuum of care' process. Pursue local sources of financing for priority affordable and special needs housing production, neighborhood preservation and community development.

### **Actions planned to enhance coordination between public and private housing and social service agencies**

The City's Housing Department conducts regular public forums to receive input from private and nonprofit housing developers. A List-Serve has been established to communicate with the housing

community any updates, regulatory changes, available funding for housing development and public meetings related to housing development or services. Additionally, the Resident Services Section (RSS) has established a Coalition of Service Providers, a group of non-profit social service providers, to help connect low-income residents to services and resources.

The method for selecting HOPWA project sponsors is to conduct an open, competitive Request for Proposals process which includes providing full access to grassroots faith-based and other community organizations through outreach, meetings and communication through email list serve and on the Internet.

**Discussion:**

The actions and activities further develop a programmatic response to meeting the needs of those identified as underserved.

## Program Specific Requirements

### AP-90 Program Specific Requirements – 91.220(I)(1,2,4)

#### Introduction:

The information below is narrative on the City of Phoenix's plan to utilize federal entitlement funds.

#### Community Development Block Grant Program (CDBG) Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	500,000
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
<b>Total Program Income:</b>	<b>500,000</b>

#### Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	90.00%

#### HOME Investment Partnership Program (HOME) Reference 24 CFR 91.220(I)(2)

1. A description of other forms of investment being used beyond those identified in Section 92.205 is



as follows:

Not Applicable.

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

In the City's down payment assistance programs where the note is forgiven and deferred, the deferred amount is stated in the down payment assistance loan documents. The loan is secured through a recorded Deed of Trust. If the house is sold before the loan is completely forgiven and the period of affordability still remains, the City will recapture the HOME subsidy from available net sales proceeds.

In the City's down payment assistance programs where the note is due on sale of the home, the subsidy amount and term of the loan is stated in the down payment assistance loan documents. The loan is secured through a recorded Deed of Trust. If the house is sold before the HOME Program period of affordability is met, the City will recapture the HOME subsidy from available net sales proceeds.

In the past, the City administered a Shared Equity Down Payment Assistance Loan Program (SEDAP) where upon sale and/or evidence of default as defined in the loan documents, the original down payment assistance loan plus or minus a percentage of the appreciation or depreciation will be due the City (also known as net sales proceeds). The percent appreciation/depreciation due is based on whether there is a gain or loss from a subsequent sale. If there is a gain, then the City will be paid its original loan plus a share of the appreciation based on the percentage of the City's shared equity loan to the total acquisition cost. If there is a loss, then 100% of such amount will be reduced from the City's original down payment assistance loan.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

Under the City's 1st Time Homebuyers Program where the City holds the mortgage, the City will recapture the HOME subsidy from available net proceeds from owners who sell their property before the Period of Affordability expires. To ensure that the intent of the HOME program affordability period is met, the City files a Deed of Trust for each property. Since the City is the Deed and mortgage holder, any sales transaction will require the City's participation. The City also requires that a Deed of Trust and Declaration of Affirmative Land Use Restrictions (or Special Warranty Deed) be recorded.

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is

rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

The Housing Department may consider a citywide refinancing program, as an investment strategy for acquisition and/or rehabilitation of affordable rental projects sponsored by nonprofit housing developers with a minimum HOME investment of \$1,000 per unit. All rehabilitated units must be located within Phoenix City Limits and meet the City of Phoenix Minimum Property Standards. Priority will be given to projects already supported by City funds. While refinancing may be an eligible activity in the preservation of affordable rental housing, it will not be eligible as the primary purpose of the Department's refinancing investment strategy. "Taking out" or "cashing out" by developer/borrower of capital equity will not be permitted under the Department's refinancing investment strategy.

Nonprofit housing developers may be eligible to refinance existing debt using Housing Department funds when rehabilitation of the project and refinancing is necessary to create or continue long-term affordability rental restrictions. Through an application process, projects must provide a management plan and 15 year proforma. City staff will review and underwrite the project to determine feasibility. Aspects such as property management, financial need, long term financial feasibility, market demand and level of rehabilitation will be reviewed to determine the project feasibility. Housing Department funds utilizing federal block grant funds such as HOME Investment Partnerships Program cannot be used to refinance FHA loans and/or multifamily mortgage loans made or insured by any federal program including the Community Development Block Grant Program (CDBG). Projects must be developed by a nonprofit agency and located jurisdiction wide (anywhere within the City of Phoenix). The new investment must create additional affordable units and/or be used to maintain current affordable units.

All projects are subject to long-term affordability restrictions which limit resident incomes and rents based on levels of area median income (AMI) established annually by the U.S. Department of Housing and Urban Development (HUD). All units receiving Department refinancing assistance must be reserved for households below 60% of AMI. An affordability restriction of at least 30 to 40 years will be required on all units assisted through the refinancing investment strategy. Specific project requirements will determine period of affordability restriction.

### **Emergency Solutions Grant (ESG) Reference 91.220(I)(4)**

1. Include written standards for providing ESG assistance (may include as attachment)

The City of Phoenix utilizes the Maricopa County Continuum of Care Community Best Practices developed and approved through the Maricopa County CoC in the direct provision of ESG assistance. Likewise, the city contractually requires sub recipients to provide ESG assistance in alignment with

applicable standards.

\*See attached Maricopa County Continuum of Care Community Best Practices

2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

The CoC operates a Coordinated Entry (CE) system for all people experiencing homelessness. The Access Points use a common assessment tool to determine the housing intervention for the client(s). Questions are asked to determine if domestic violence is a factor. If so, victim safety is assessed. Client(s) may be referred to the DV Centralized Screening (CS) system, the parallel system for victims of domestic violence. A common assessment tool is also used by CS to assess first for safety and second for the appropriate referral. Safety is always the first consideration for victims. Once safety is addressed, then the housing assessment tool is administered by CE and referrals are made based on need. Client choice is considered when making the referral, many housing options are presented and the client makes the choice. Many DV providers were involved in the development of the CE system to ensure that victim safety and connection to the DV system and an array of housing options.

The Family Housing Hub serves as a single point of entry system for families experiencing homelessness in Maricopa County. The Family Housing Hub coordinates shelter and housing for 13 nonprofit agencies. The Welcome Center serves as the initial access point to engage single adult homeless individuals experiencing homelessness. The CoC approved the VI-SPDAT and Family VI-SPDAT as the common assessment tool for coordinated entry. Staff at the Family Housing Hub and Welcome Center, as well as other trained partners, administer the VI-SPDAT to determine which intervention best meets the needs of the individual or family. The access point then makes the referral to the housing and/or service provider based on the needs identified. People are first assessed for safety and, as appropriate, triaged to other systems of care for crisis or domestic violence.

3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

The current City of Phoenix ESG sub awards were granted through a competitive procurement process in FY 2018 for a one-year period with the option of three additional one-year renewal periods. Contracts are monitored annually for progress towards performance outcomes and compliance with federal, state and local regulations. The city works closely with sub recipients to provide training, technical assistance and ongoing support as needed.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR

576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

The City of Phoenix Human Services Commission, which serves as the Human Services Department's governing board includes representation of persons who are homeless or formerly homeless, and organizations which serve persons experiencing homelessness.

5. Describe performance standards for evaluating ESG.

Performance standards are included in the Maricopa County Continuum of Care Community Best Practices (attached) approved by the Maricopa County CoC and required for all ESG assistance activities. Sub awardees provide monthly demographic reports and quarterly performance reports to track progress towards annual outcomes.

## Attachments



**RESULTS OF PUBLIC HEARING**  
**Soliciting Public Input on 2018-19 Annual Action Plan**

Pursuant to A.R.S. Section 38-431.02, notice is hereby given to the members of the general public, that the **NEIGHBORHOOD SERVICES DEPARTMENT** held a hearing open to the public on **August 30, 2017, at 3:00 p.m. located in the Washington Activity Center, 2202 W Citrus Way, Phoenix, Arizona.**

The agenda of the meeting is as follows:

<b>RESULTS</b>	<b>ITEM</b>	<b>AGENDA</b>
	1.	Welcome
Reviewed	2.	Review of the Annual Action Plan process
Comments taken	3.	Call for Public comment.
Adjourned	4.	Adjournment

For further information, please call Shawn Pierce, Grants Compliance Supervisor, Neighborhood Services Department at 602-262-4834.

To receive this information in an alternative format, contact the Neighborhood Services Department 200 West Washington Street, 4th Floor, Phoenix AZ 85003 (602) 534-4444; TTY (602) 495-0685. Para recibir este boletín informativo en español, póngase en contacto con el Departamento de Vecindarios al (602) 534-4444, ó por correo electrónico a [nsd@phoenix.gov](mailto:nsd@phoenix.gov) en la internet.

August 31, 2017





**Shawn Pierce**

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**From:** NSD NSD  
**Sent:** Tuesday, August 22, 2017 1:47 PM  
**To:** Shawn Pierce  
**Subject:** FW: Annual Action Plan Public Hearings  
**Attachments:** CDBG Public Meeting Notice (003).pdf

**Importance:** High

Good afternoon Shawn,

How are you?

Please see the notice below and attached flier to announce the CDBG Annual Action Plan public meetings for this year.

Cheers,



Thaddis Jackson  
Management Assistant II  
Neighborhood Services Department  
Office: 602.495.0474  
[thaddis.jackson@phoenix.gov](mailto:thaddis.jackson@phoenix.gov)

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**From:** NSD NSD  
**Sent:** Friday, August 18, 2017 9:01 AM  
**Subject:** Annual Action Plan Public Hearings  
**Importance:** High

**Hello Neighborhood Leaders.**

The City of Phoenix wants public input for the 2018-19 U.S. Department of Housing and Urban Development (HUD) Annual Action Plan, which details the projects and programs the City will support to achieve the goals of the 2015-2020 Consolidated Plan.

The city of Phoenix's Annual Action Plan provides critical funds to entitlement cities, including Phoenix, every year. The four fund sources are: Community Development Block Grant (CDBG), HOME Investment Partnership (HOME), Emergency Solutions Grants (ESG), and Housing Opportunities for Persons with AIDS (HOPWA). The Annual Action Plan examines housing and community development conditions and needs in the City, and defines strategies for addressing them.

The Annual Action Plan invites residents, neighborhood groups and organizations, and community leaders to participate in developing policies and strategies including, but not limited to avoiding discrimination in housing, the management of subsidized housing, affordable housing opportunities,

the rehabilitation of existing housing units, services for disadvantaged segments of the population, revitalization of low- and moderate-income neighborhoods, and other community development activities.

The city of Phoenix will hold two public hearings to get public input on the eligible activities to be funded in the City's annual entitlement allocation. You may offer input into how these Public Services funds are allocated in three ways:

- ***By attending one of the public hearings:***

1. **August 30, 2017, at 3PM**

Washing Activity Center (2202 West Citrus Way)

2. **August 30, 2017, at 6PM**

Washing Activity Center (2202 West Citrus Way)

- ***By sending your comments to:***

[grants.nsd@phoenix.gov](mailto:grants.nsd@phoenix.gov) on or before August 30, 2017

- Or by calling the following number and leaving your comments:  
(602) 534-4444

For additional information, or if you have questions, please email [grants.nsd@phoenix.gov](mailto:grants.nsd@phoenix.gov). **Feel free to print and share the attached flier.**

**City of Phoenix**



***Housing Department***

To request a reasonable accommodation or free language translation services, please call 602-262-6794. For TTY or other such accommodations please use 7-1-1 Friendly.

To request reasonable accommodation or services free translation of languages, the 602-262-6794 call. For the TTY line or other similar services must have compatibility with 7-1-1.

Arabic Chinese Vietnamese

View this page in Spanish

**Program  
CLARIFICATIONS**

**Find the latest information about qualification requirements such as social security numbers, criminal background checks, and service animals.**

*Available in English and Spanish*

<https://www.phoenix.gov/housing>

8/21/2017

### Housing Program Clarifications

Find the latest information about qualification requirements such as social security numbers, criminal background checks, and service animals. Available in English and Spanish.

*More >*

## Welcome...

to the City of Phoenix Housing Department. Our programs provide public housing, Section 8 housing vouchers, affordable rental apartments and single-family homes to more than 35,000 area residents. This includes partnering with non-profit and for-profit community organizations on the preservation and development of affordable housing units.

Our partnerships have led to sustainable and affordable rental housing and home ownership opportunities. The enthusiasm and dedication of our Housing Department staff and Executive Management team make it all possible. We look forward to continuing to provide Phoenix residents with quality housing options.

AAFP

## What's New in Housing?

### Public Meeting Notice

The city of Phoenix is soliciting public input into the 2018-19 U.S. Department of Housing and Urban Development (HUD) Annual Action Plan, which details the projects and programs the City will support during the fiscal year to further the goals of the 2015-2020 Consolidated Plan.

Wed, August 30, 2017  
3:00 P.M. or 6:00 P.M.  
Washington Activity Center  
2202 W. Citrus Way

[View the Public Notice](#)

### Community Forum

<https://www.phoenix.gov/housing>

8/21/2017

**Yolanda Martinez**

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**From:** Sheree Bouchee <sheree.bouchee@phoenix.gov>  
**Sent:** Monday, August 21, 2017 8:34 AM  
**To:** Yolanda Martinez  
**Subject:** 2018 Annual Action Plan - Public Hearing

The city of Phoenix is soliciting public input into the 2018-19 U.S. Department of Housing and Urban Development (HUD) Annual Action Plan, which details the projects and programs the City will support during the fiscal year to further the goals of the 2015-2020 Consolidated Plan. The city of Phoenix's Annual Action Plan contains the planning and application requirements of four federal formula grants which provide critical funds to entitlement cities, including Phoenix, annually. The four fund sources are: Community Development Block Grant (CDBG), HOME Investment Partnership (HOME), Emergency Solutions Grants (ESG), and Housing Opportunities for Persons With AIDS (HOPWA). The Annual Action Plan examines housing and community development conditions and needs in the city of Phoenix, and defines strategies for addressing them.

The Annual Action Plan requires citizen participation in developing policies and strategies regarding discrimination in housing, management of subsidized housing, affordable housing opportunities, rehabilitation of existing housing units, services for disadvantaged segments of the population, revitalization of low- and moderate-income neighborhoods, and other community development activities.

The city of Phoenix will hold two public hearings to solicit public input on eligible activities to be funded in the city's annual entitlement allocation. You may offer input into how these Public Services funds are allocated in three ways:

- 1) By attending one of two public hearings: August 30, 2017 at 3 pm or 6 pm, at Washington Activity Center, 2202 West Citrus Way
- 2) Or by sending your comments to: [grants.usd@phoenix.gov](mailto:grants.usd@phoenix.gov) on or before August 30, 2017
- 3) Or by calling 602-534-4444 to leave your comments.

**Shawn Pierce**

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**From:** Keith Thompson <KeithT@shantiaz.org>  
**Sent:** Friday, August 18, 2017 10:35 AM  
**To:** Grants NSD  
**Cc:** Keith Thompson  
**Subject:** Phoenix Annual Plan

Thank you for your continued management of the HOPWA HIV Housing Grants for our community. Keith

Keith A. Thompson, M.Div.  
Executive Director/CEO  
Phoenix Shanti Group  
2345 W. Glendale Ave.  
Phoenix, Arizona 85021  
602.279.0008  
602.279.2004 (fax)



\*\*\*\*\*  
**IMPORTANT WARNING:** This e-mail and any files transmitted with it may contain CONFIDENTIAL information, including PRIVATE AND CONFIDENTIAL HEALTH INFORMATION that is intended for the use of the person to whom it is addressed. If the reader of this e-mail/attachment is not the intended recipient, employee, or agent responsible to deliver it to the intended recipient, you are hereby notified that any dissemination, distribution, reproduction, reading, or copying of this information is STRICTLY PROHIBITED.

If you have received this e-mail in error, please delete the related e-mail and all attachments and notify the sender immediately (via reply e-mail) and/or call 602.279.0008.

\*\*\*\*\*

**Sheree L. Bouchee**

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**From:**  
**Sent:**  
**To:**

Kellie Rorex  
Thursday, August 17, 2017 2:02 PM  
A New Leaf; Adolf & Peterson Construction; Alliance Property Group Inc. ; Area Agency on Aging; Arizona Housing Inc.; Cal Pacific Properties; Catholic Charities Community Services, Inc.; Ceasar Chavez Foundation; Chicanos Por La Causa, Inc. ; Chicanos Por La Causa, Inc. ; Chrysalis ; Chrysalis2; Chrysalis3 ; Community Bridges, Inc.; Community Housing Partnership; Community Housing Partnership; Discovery Triangle Development Corporation; Foundation for Senior Living; Foundation for Senior Living; Gorman & Company, Inc.; Gorman & Company, Inc.; Habitat for Humanity; Habitat Metro; House of Refuge; Housing Opportunity Center; Labor's Community Service Agency (LCSA); Lifewell Behavioral Wellness; Mercy Housing Southwest; Native American Connections; Native American Connections; New Life Center; one-n-ten; one-n-ten; Paragon Mortgage Corporation; Presbyterian Service Agency (PSA) Behavioral Health ; Presbyterian Service Agency (PSA) Behavioral Health ; Save the Family; Southwest Behavioral Health Services; Southwest Center for HIV/AIDS; Spina Bifida Association of Arizona; Tanner Properties Inc; The Crossroads, Inc.; The NRP Group, LLC; The Phoenix Shanti Group; TOFEL Construction; UMODM; UMODM; Valleylife ; Vivre Recovery Housing; West Valley Child Crisis Center  
**Cc:** Sheree L. Bouchee  
**Subject:** Public Meeting Notice for Fund Allocation  
**Attachments:** CDBG Public Meeting Notice (002).pdf

Greetings,

The City of Phoenix is holding a public meeting to seek public input on potential eligible activities funded through the 2018-2019 U.S. Department of Housing and Urban Development (HUD) Annual Action Plan. Federal grants help fund affordable housing, homeless services, economic development, public facility improvements, and other public services.

The Annual Action Plan encompasses the planning and application requirements for federal grants sourced from the following: Community Development Block Grants (CDBG), HOME Investment Partnership (HOME), Emergency Solutions Grants (ESG), and Housing Opportunities for Persons with AIDS (HOPWA).

There will be two public meetings held on Wednesday, August 30<sup>th</sup>, 2017 at 3PM and 6PM at the Washington Activity Center on 2022 W. Cibola Way. If you are unable to attend the meetings but would like to share your input on how these funds should be allocated please send comments to [grants.nsd@phoenix.gov](mailto:grants.nsd@phoenix.gov) on or before August 30<sup>th</sup>, 2017 or call 502-534-4444. For more information please see attached flyer.

We hope to see you there!

Sincerely,

**Kellie Rorex**

City of Phoenix | Housing Department  
251 W. Washington Street, 4<sup>th</sup> Floor  
Phoenix, AZ 85003  
[Kellie.rorex@phoenix.gov](mailto:Kellie.rorex@phoenix.gov)

**Shawn Pierce**

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**From:** Bill Merritt <wm.merritt@gmail.com>  
**Sent:** Friday, August 18, 2017 10:36 AM  
**To:** Grants NSD  
**Subject:** Annual Action Plan Public Hearings

1. Much of what NSD provides is enforcement of city blight ordinances. I would like NSD to be more proactive and involved with the city council to have blight ordinances and zoning ordinances strengthened as a means to provide a comprehensive, meaningful method to regain our neighborhoods. It is apparent that the current ordinances are woefully inadequate.

Example: Blight ordinances prohibit parking on non-dust proof surfaces which can be a front yard or side yard but allows placement of a paver or concrete pads placed under each wheel which brings the vehicle and resident into compliance. ( Actually recently happened). Maneuvering areas are seldom considered.

So now we have as many vehicles as possible parked on non-landscaped surfaces (dirt) up to 35%-45% (depends on who you talk to) of the allowable portion of a front yard. Front yards have now become what appears as lots for what looks like used vehicles and construction equipment. Some are parked legally, some are not. I wake up each day and look out of my front window to see anywhere between 5-9 different trucks and trailers parked in a front and side yard on landscaped surfaces. Being legal does not make it appear any less like blight.

My point(s):

- Phoenix blight ordinances allow this to occur.
- Property values decline in the neighborhood resulting in lower tax revenue.
- Phoenix is the ONLY city or town in the valley that permits dirt front yards.
- Phoenix is one of a very few cities or towns that allows parking on landscaped areas.

**Solution:**

Change ordinance to read something like the following:

- a.) All front and side yards must be landscaped with either decorative rock, artificial or natural turf. Non-dust proof surfaces (dirt) are not permitted. (think of the increase on air quality and the reduction of allergens and spores)
- b.) Parking is not permitted on any landscaped surface.
- c.) Landscaped areas must be maintained as weed-free surfaces (rock) and manicured to blight minimum standards (natural turf) as determined by NSD inspectors.

I think NSD is the appropriate vehicle to get this done. I am willing to help. I have many more thoughts, but this is a good start.

**Rationale -** Blight invites criminals and nurtures criminal activity among residents who are allowed to do so. What gives a resident the right to reduce the value of his neighbors property.

**Grants -**

1. Simplify the online application system. What a confusing mess it is.



2018-19 Annual Action Plan Public Hearing  
 August 30, 2017  
 Washington Activity Center  
 6:00 pm

Name	Mailing Address	Phone	Email
Sandra Jones	2702 N. 3rd St. #4020, PHX 85004	602-495-6929	Sandra.jones@phoenix.gov
Matt Jewett	7611 S. 36th St	602-323-3432	mjewett@mphc-az.org
Vincent Ford	#152 Phoenix AZ	602-642-2504	vinford52@gmail.com

2018-19 Annual Action Plan Public Hearing  
 August 30, 2017  
 Washington Activity Center  
 3:00 pm

Name	Mailing Address	Phone	Email
Jim WELTER	3120 W Camelback Way Phoenix, AZ 85086	602-448-5565	JIMWELTER@IMPA.RV Phoenix.az
Scott Hall		480-599-0843	scott.hall@phoenix.gov
Julie Barraza	6515 W. Maryland Glendale 85301	602-435-3565	jbarraza@mercyhousing.org

2018-19 Annual Action Plan Public Hearing  
 August 30, 2017  
 Washington Activity Center  
 3:00 pm

Name	Mailing Address	Phone	Email
Sara Janar	City of Phoenix	602-534-7593	sara.janar@phoenix.gov
Rose Nield	city of PHX	602-534-2538	rose.nield@phoenix.gov
Steve Rivas	"	602-495-0129	steve.rivas@phoenix.gov
Kristina Aleg	City of Phx	602-256-4302	Kristina.bl@phoenix.gov
Michael New	PHX		
DARREL CHRISTENSEN	C/O RESILIENT 360 5025 S. WASHINGTON ST PHX 85041	602-296-0530	darrelc@resilient360.org
Tracy Spencer	702 W El Camino	602.341.3002	tracy@azhomeforus.com

2018-19 Annual Action Plan Public Hearing  
 August 30, 2017  
 Washington Activity Center  
 3:00 pm

Name	Mailing Address	Phone	Email
Robert Dwyer	15029 N. Thompson Rd Bill-148 Seaside 85603 1405 E. McDowell Rd Phoenix	602-370-0527	roberob@tridwyer.com
Caroline Conant	851 W. Wasmatawn	602-342-4403	c.conant@tridwyer.com
Sheree Bouché			sheree.bouché@gov
Michael Peterson	4225 W. Olive Ave #400 Glendale 85302	602-437-2140	michael.peterson@rescue.org
Sandra Jones	251 W Washington	602-495-6929	Sandra.jones@phoenix.gov
Pam Fitzgerald	85015	602-249-4070	Pf121@905.net
Mrs. Gonzalez-Elliott			ElliottGonzalez@jca.com

**PUBLIC HEARING FOR 2018-19  
Annual Action Plan**

**SPEAKER COMMENT CARD**

Please fill out completely and turn into staff.

First Name: MICHAEL Last Name: NEY

Address: 6320 N. 16 St, Apt 18 Zip code: 85016

Contact information: (phone) u/g

(email) u/g

Organization: u/g

**TOPICS:**

- Affordable Housing
- Economic Development
- Housing: Special Needs Populations (Priorities approved by Council; ex: Victims of Domestic Violence; Homeless)
- Housing Opportunities for Persons with HIV/AIDS
- Housing & Non-Housing Needs of Homeless Persons
- Neighborhood Revitalization (Housing Rehab, Infill Housing)
- Homeownership
- Public Service Programs
- Fair Housing
- Crime Prevention
- Public Facilities/Infrastructure Needs
- Other

How did you hear about this public hearing? (circle as many as apply)

- AZ Republic City Page
- Facebook
- Twitter
- public meeting notice
- phoenix.gov website
- Nextdoor flyer
- Neighborhood Notification email
- city calendar

Please check here if you wish to be contacted for follow up to your comments.  
If not, staff will contact you only if clarification is necessary. Thank you.

Comments: I talked to Sandra Jones, MSW about very serious assault on me by Section 8.

Plus, I'd like to READ all presentations on paper, not just remember slides.

P.S. Learned about this meeting by accident - where it was advertised?

Please use the back of the page to continue comments.

**PUBLIC HEARING FOR 2018-19  
Annual Action Plan**

**SPEAKER COMMENT CARD**  
Please fill out completely and turn into staff.

First Name: Vincent Last Name: Forel

Address: 1854 E. Rossier Rd. Zip code: 85042

Contact information: (phone) 602-642-2504

(email) vinforel52@gmail.com

Organization: Rossier Road Church of Christ

**TOPICS:**

- |  |   |  |
|--|---|--|
| <input type="checkbox"/> Affordable Housing  | <input checked="" type="checkbox"/> Homeownership               | <input type="checkbox"/> Fair Housing                |
| <input checked="" type="checkbox"/> Economic Development   | <input type="checkbox"/> Public Service Programs                | <input checked="" type="checkbox"/> Crime Prevention |
| <input type="checkbox"/> Housing: Special Needs Populations (Priorities approved by Council; ex: Victims of Domestic Violence; Homeless) |   |  |
| <input checked="" type="checkbox"/> Housing Opportunities for Persons with HIV/AIDS  | <input type="checkbox"/> Public Facilities/Infrastructure Needs |  |
| <input type="checkbox"/> Housing & Non-Housing Needs of Homeless Persons   |   |  |
| <input checked="" type="checkbox"/> Neighborhood Revitalization (Housing Rehab, Infill Housing)  | <input type="checkbox"/> Other                                  |  |

**How did you hear about this public hearing? (circle as many as apply)**

- |                       |                       |                            |                                 |
|-----------------------|-----------------------|----------------------------|---------------------------------|
| AZ Republic City Page | public meeting notice | Nextdoor                   | Neighborhood Notification email |
| Facebook              | Twitter               | <u>phoenix.gov website</u> | city calendar                   |
|                       |                       | flyer                      |                                 |

Please check here if you wish to be contacted for follow up to your comments.  
If not, staff will contact you only if clarification is necessary. Thank you.

Comments: I would like to talk further about how  
we can serve the south phoenix area. Also the  
process that entails our service.

Would like to meet face to face.

Email me tonight's PPT

Please use the back of the page to continue comments.

**PUBLIC HEARING FOR 2018-19  
Annual Action Plan**

**SPEAKER COMMENT CARD**  
Please fill out completely and turn into staff.

First Name: Elliot Last Name: Crosdale

Address: P.O. Box 32183 Phoenix, AZ 85064 Zip code: \_\_\_\_\_

Contact information: (phone) 602-980-2690

(email) ElliotCrosdale@usa.com

Organization: \_\_\_\_\_

**TOPICS:**

- Affordable Housing
- Homeownership
- Fair Housing
- Economic Development
- Public Service Programs
- Crime Prevention
- Housing: Special Needs Populations (Priorities approved by Council; ex: Victims of Domestic Violence; Homeless)
- Housing Opportunities for Persons with HIV/AIDS
- Public Facilities/Infrastructure Needs
- Housing & Non-Housing Needs of Homeless Persons
- Neighborhood Revitalization (Housing Rehab, Infill Housing)
- Other

How did you hear about this public hearing? (circle as many as apply)

- AZ Republic City Page
- Facebook
- Twitter
- public meeting notice  
phoenix.gov website
- Nextdoor flyer
- Neighborhood Notification email
- city calendar

Please check here if you wish to be contacted for follow up to your comments.  
If not, staff will contact you only if clarification is necessary. Thank you.

Comments: How will set-up a Homeless-Facilities?  
where can be located?  
who I can contact to assist me in set it up  
a Homeless Facilities?  
How/where can I find funds for a Homeless Project?  
what Dept or/aid Program ~~is it~~ I will need  
to be in contact with.

Please use the back of the page to continue comments.

**PUBLIC HEARING FOR 2018-19  
Annual Action Plan**

**SPEAKER COMMENT CARD**  
Please fill out completely and turn into staff.

First Name: Pam Last Name: Fitzgerald

Address: \_\_\_\_\_ Zip code: 85015

Contact information: (phone) 602 249 4070

(email) pfitz1@cox.net

Organization: WPNA

**TOPICS:**

- Affordable Housing       Homeownership       Fair Housing
- Economic Development       Public Service Programs       Crime Prevention
- Housing: Special Needs Populations (Priorities approved by Council; ex: Victims of Domestic Violence; Homeless)
- Housing Opportunities for Persons with HIV/AIDS       Public Facilities/Infrastructure Needs
- Housing & Non-Housing Needs of Homeless Persons
- Neighborhood Revitalization (Housing Rehab, Infill Housing)       Other

**How did you hear about this public hearing? (circle as many as apply)**

- AZ Republic City Page       public meeting notice       Nextdoor       Neighborhood Notification\_email
- Facebook       Twitter       phoenix.gov website       flyer       city calendar
- another neighborhood paper

Please check here if you wish to be contacted for follow up to your comments.  
If not, staff will contact you only if clarification is necessary. Thank you.

Comments: Is there a point where a neighborhood has more than its share of affordable housing.

Please use the back of the page to continue comments.



**PUBLIC HEARING FOR 2018-19  
Annual Action Plan**

**SPEAKER COMMENT CARD**  
Please fill out completely and turn into staff.

First Name: DARREL Last Name: CHRISTENSEN  
 Address: 40 Ability 360, 5025 E. Washington <sup>STE 200</sup> Zip code: 85034  
 Contact information: (phone) 602-296-0530  
 (email) darelc@ability360.org  
 Organization: ABILITY 360

**TOPICS:**

- |   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> Affordable Housing  | <input type="checkbox"/> Homeownership                          | <input checked="" type="checkbox"/> Fair Housing |
| <input type="checkbox"/> Economic Development   | <input type="checkbox"/> Public Service Programs                | <input type="checkbox"/> Crime Prevention        |
| <input checked="" type="checkbox"/> Housing: Special Needs Populations (Priorities approved by Council; ex: Victims of Domestic Violence; Homeless) |   |  |
| <input type="checkbox"/> Housing Opportunities for Persons with HIV/AIDS  | <input type="checkbox"/> Public Facilities/Infrastructure Needs |  |
| <input type="checkbox"/> Housing & Non-Housing Needs of Homeless Persons  |   |  |
| <input type="checkbox"/> Neighborhood Revitalization (Housing Rehab, Infill Housing)  | <input type="checkbox"/> Other                                  |  |

How did you hear about this public hearing? (circle as many as apply)

- |  |  |  |  |
|--|--|--|--|
| <input type="checkbox"/> AZ Republic City Page | <input type="checkbox"/> public meeting notice | <input type="checkbox"/> Nextdoor            | <input type="checkbox"/> Neighborhood Notification email |
| <input type="checkbox"/> Facebook              | <input type="checkbox"/> Twitter               | <input type="checkbox"/> phoenix.gov website | <input type="checkbox"/> city calendar                   |

Please check here if you wish to be contacted for follow up to your comments.  
 If not, staff will contact you only if clarification is necessary. Thank you.

Comments: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

Please use the back of the page to continue comments.

**PUBLIC HEARING FOR 2018-19  
Annual Action Plan**

**SPEAKER COMMENT CARD**  
Please fill out completely and turn into staff.

First Name: Jim Last Name: WELTER  
 Address: 3120 W Camelback Hwy Zip code: 85086  
 Contact information: (phone) 602 448 5565  
 (email) jimwelter@impactphoenix.org  
 Organization: IMPACT Phoenix  
for I-HELP Sunnyslope

**TOPICS:**

- Affordable Housing       Homeownership       Fair Housing
- Economic Development       Public Service Programs       Crime Prevention
- Housing: Special Needs Populations (Priorities approved by Council; ex: Victims of Domestic Violence, Homeless)
- Housing Opportunities for Persons with HIV/AIDS       Public Facilities/Infrastructure Needs
- Housing & Non-Housing Needs of Homeless Persons
- Neighborhood Revitalization (Housing Rehab, Infill Housing)       Other

**How did you hear about this public hearing? (circle as many as apply)**

- AZ Republic City Page       public meeting notice       Nextdoor       Neighborhood Notification email
- Facebook       Twitter       phoenix.gov website       flyer       city calendar

Please check here if you wish to be contacted for follow up to your comments.  
 If not, staff will contact you only if clarification is necessary. Thank you.

Comments: Thank you for the public hearing! We've started a new initiative  
in Sunnyslope to help individuals end their homelessness - I-HELP. This  
is a very successful model started in the East Valley over 12 years ago  
Business & government have encouraged + supported us in our August, 2017  
implementation. This model happens to cost 1/5 of what traditional shelter  
services do; our goal is to get our participants housed in 90 days through  
robust case management. This is a different way of helping individuals  
end their homelessness that I would ask the City to consider to  
be a part of these funding vehicles. Thank you

Please use the back of the page to continue comments.

Jim Welter  
8/30/2017

# THE ARIZONA REPUBLIC

PO Box 194, Phoenix, Arizona 85001-0194  
Phone 1-602-444-7315 Fax 1-877-943-0443

STATE OF ARIZONA }  
COUNTY OF MARICOPA } SS.

## AFFIDAVIT OF PUBLICATION

**CITY OF PHOENIX-CITY PAGE**  
200 W. Washington, 12th Floor  
Phoenix, AZ 85003

I, being first duly sworn, upon oath deposes and says: That I am the legal clerk of the Arizona Republic, a newspaper of general circulation in the counties of Maricopa, Coconino, Pima and Pinal, in the State of Arizona, published weekly at Phoenix, Arizona, and that the copy hereto attached is a true copy of the advertisement published in the said paper on the dates indicated.

Publication: Arizona Republic

Ad number: 0008790682

PO Field: Log # 11009

Published Date(s):

05/04/18

Sworn to before me this

28th day of  
JUNE, 2018

*Rose J. Spick*  
Notary Public

My Commission Expires on: 3/14/21



PHOENIX, ARIZONA SPECIAL EVENTS  
PUBLISHED BY THE CITY OF PHOENIX  
PHOENIX, AZ

**CITY OF PHOENIX, NEIGHBORHOOD SERVICES DEPARTMENT**  
**ANNUAL ACTION PLAN REVIEW**

The City of Phoenix has prepared draft documents for the 2020-2025 Annual Action Plan, an element of the 2015-2020 Consolidated Plan.

The 2020-2025 Consolidated Plan provides an assessment of current and future community development needs in Phoenix over the next five years. The 2020-2025 Annual Action Plan is a specific plan to address the needs of the following U.S. Department of Housing and Urban Development (HUD) priority grant funds:

- Community Development Block Grant-Funds (CDBG)
- HOME Investment Partnerships Program
- Emergency Solution Grant (ESG)
- Housing Opportunities for Persons with AIDS (HOPWA)

Additionally, the process changes to the City of Phoenix Citizen Participation Plan, which has been amended to reflect necessary inclusions for the 2020-2025 Consolidated Plan and Analysis to Inform Investments to Fair Housing, will be available for review and comment.

The draft documents will be available for review and public comment from April 30 - May 31, 2018 at Phoenix City Hall, 200 W. Washington St., fourth floor.

A public hearing/open house will be held to view the draft document and provide public comment on May 9, 2018, from 11:00 a.m. to 3:30 p.m. at the Family Advocacy Center, 2120 N. Central Phoenix, AZ 85004.

For further information, please email [grants.nsd@phoenix.gov](mailto:grants.nsd@phoenix.gov).

**Shawn Pierce**

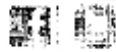
---

**From:** Christy Blake  
**Sent:** Tuesday, May 08, 2018 9:17 AM  
**To:** Shawn Pierce  
**Subject:** FW: City of Phoenix, Neighborhood Services Department

FYI - Carmen sent out a blast email on the AAP Review to their listserve.



Christy Blake  
Administrative Division | Grants Compliance  
City of Phoenix | Neighborhood Services Department  
200 W. Washington Street | 4<sup>th</sup> Floor | Phoenix, Arizona 85003  
email: [Christy.blake@phoenix.gov](mailto:Christy.blake@phoenix.gov)  
Phone: 602.534.9862  
Internet: <http://phoenix.gov/NSD>



Please consider the environment before printing this email.

**From:** Carmen Rentas [<mailto:carmen.rentas@phoenix.gov>]  
**Sent:** Monday, May 07, 2018 2:55 PM  
**To:** Christy Blake <[christy.blake@phoenix.gov](mailto:christy.blake@phoenix.gov)>  
**Subject:** City of Phoenix, Neighborhood Services Department

**City of Phoenix, Neighborhood Services Department  
Annual Action Plan Review**

The City of Phoenix has prepared draft documents of the 2018-19 Annual Action Plan, an element of the 2015-20 Consolidated Plan.

The 2015-20 Consolidated Plan provides an assessment of the housing and community development needs in Phoenix over the next five years. The draft 2018-19 Annual Action Plan is a specific one-year plan for the use of the following U.S. Department of Housing and Urban Development (HUD) formula grant funds:

- Community Development Block Grant Funds (CDBG)
- HOME Investment Partnerships Program
- Emergency Solutions Grant (ESG)
- Housing Opportunities for Persons with AIDS (HOPWA)

Additionally, the proposed changes to the City of Phoenix Citizen Participation Plan, which has been amended to reflect necessary inclusions for the 2020-2025 Consolidated Plan and Analysis to Impediments to Fair Housing, will be available for review and comment.

The draft documents will be available for review and public comment from April 30 – May 31, 2018 at Phoenix City Hall, 200 W. Washington St., fourth floor.

**PLEASE SIGN-IN**

City of Phoenix Public Meeting  
**Annual Action Plan Public Hearing**  
 Family Advocacy Center, 2120 N. Central Avenue, Phoenix, AZ - May 9, 2018

NAME	ADDRESS	EMAIL	PHONE
Nicky Walker	4225 W. Olive Ave #400 85302	Nicky.Walker@rescue.org	602-433-2440 ext 213
<del>Amber Soderstrom</del>	<del>7044 W. Beaman St Phoenix AZ 85035</del>	<del>Amber.Soderstrom@rescue.org</del>	<del>602-433-2440</del>
Jenny E. Peppers	7044 W. Beaman St Phoenix AZ 85035	Jenny@HumanusHealingTherapy.com	602-433-2440
Cynthia Slamp	12011 W Satter dr.	Cindyazz@yahoo.com	
Jeanne Peppers	1644 W. Beaman St. Phoenix, AZ 85035	JPeppers@HumanusHealingTherapy.com	602-433-2440

**PLEASE SIGN-IN**

City of Phoenix Public Meeting  
**Annual Action Plan Public Hearing**  
Family Advocacy Center, 2120 N. Central Avenue, Phoenix, AZ - May 9, 2018

NAME	ADDRESS	EMAIL	PHONE
Steven Bales	8907 S 7th Ave.	stevenbales@juno.com	480-846-9240

**PUBLIC HEARING AGENDA**  
**2018-19 Draft Annual Action Plan Review**

Pursuant to A.R.S. Section 38-431.02, the Grants Administration Division for the Community Development Block Grant Program will hold a meeting open to the public on May 9, 2018 at 11:00 am located in the community room at the Family Advocacy Center, 2120 N. Central Avenue, Phoenix, Arizona.



The agenda and results of the meeting are as follows:

ITEM	AGENDA	Results
1.	Welcome	5 members of the public in attendance
2.	Public review of 2018-19 Draft Annual Action Plan	Copies of the draft plan and citizen participation plan available to public; brief overview provided by city staff
3.	Call for Public comment	1 member of the public provided written comment; 3 members of the public had follow up questions
4.	Adjournment	Adjourned

For further information, please call Shawn Pierce, Grants Compliance Project Manger, Neighborhood Services Department at 602-262-4834.

To receive this information in an alternative format, contact the Neighborhood Services Department, 200 West Washington Street, Phoenix AZ 85003 (602) 534-5033; Para recibir este boletín informativo en español, póngase en contacto (602) 534-5033.

May 10, 2018

Please help us reduce our carbon footprint...

- Review the documents on-line at: [phoenix.gov/nsd/reports](http://phoenix.gov/nsd/reports)
- Send comments to: [grants.nsd@phoenix.gov](mailto:grants.nsd@phoenix.gov)
- Subscribe to the ListServe at:  
CDBG: <http://lists.phoenix.gov/fms/nsdsubscribe.html>  
HOME and HOPWA: <http://lists.phoenix.gov/fms/hou-deptupdatessubscribe.html>

Please help us reduce our carbon footprint...

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- Subscribe to the ListServe at:  
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HOME and HOPWA: <http://lists.phoenix.gov/fms/hou-deptupdatessubscribe.html>

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- Subscribe to the ListServe at:  
CDBG: <http://lists.phoenix.gov/fms/nsdsubscribe.html>  
HOME and HOPWA: <http://lists.phoenix.gov/fms/hou-deptupdatessubscribe.html>



59.18

**PUBLIC HEARING FOR 2018-19  
Annual Action Plan**

**SPEAKER COMMENT CARD**

Please fill out completely and turn into staff.

First Name: Steven Last Name: Grier

Address: 8907 S 7th Dr Zip code: 85041

Contact information: (phone) (415) 846-9240

(email) Stevengrier88@gmail.com

Organization: Legacy Money Solutions LLC

**TOPICS:**

- Affordable Housing
- Economic Development
- Housing: Special Needs Populations (Priorities approved by Council; ex: Victims of Domestic Violence; Homeless)
- Housing Opportunities for Persons with HIV/AIDS
- Housing & Non-Housing Needs of Homeless Persons
- Neighborhood Revitalization (Housing Rehab, Infill Housing)
- Homeownership
- Public Service Programs
- Fair Housing
- Crime Prevention
- Public Facilities/Infrastructure Needs
- Other

How did you hear about this public hearing? (circle as many as apply)

- AZ Republic City Page
- Facebook
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- phoenix.gov website
- Nextdoor flyer
- Neighborhood Notification email
- city calendar

Please check here if you wish to be contacted for follow up to your comments. If not, staff will contact you only if clarification is necessary. Thank you.

Comments: I am a resident of South Phoenix Village. We need new commercial buildings to house the growing number of non-profit & for profit SMALL BUSINESS emerging from the South Phoenix Village. We also have a resident population that heavily supports local business and are very excited about the new business planned to arrive in the coming years. After hearing Sherry, Sandra, Sean and Scott Hall speak I am confident we have a team of professionals who are passionate to helping communities.

Please use the back of the page to continue comments.

Public Comment Hearing  
August 30, 2017

6 attendees  
6 comment cards

TOPICS	TOPIC OF INTEREST
Affordable Housing	4
Homeownership	1
Fair Housing	1
Economic Development	1
Public Service Programs	
Crime Prevention	2
Housing: Special Needs Populations	3
Housing Opportunities for Persons with HIV/AIDS	1
Housing & Non-Housing Needs of Homeless Persons	1
Neighborhood Revitalization	2
Public Facilities / Infrastructure Needs	1
Other	

SUMMARY OF COMMENTS:

- Affordable housing – inquiring if there is a point where a neighborhood has more than its fair share of affordable housing.
- Homeless facilities – where can they be located, who to contact to assist with setting up a homeless facility, where can they find funds for a homeless facility, what department or program do they need to contact?
- South Phoenix area – how can this area be better served.
- Affordable housing – section 8 concern (talked with Sandra Hoffman @ meeting)
- Affordable housing / Homelessness – new initiative in Sunnyslope to help individuals and their homelessness (I-HELP). Their goal is to "get their participants housing in 90 days through robust case management and would like to ask the city to consider being a part of these funding vehicles".

Public Comment Hearing  
May 9, 2018

5 attendees  
1 comment card

TOPICS	TOPIC OF INTEREST
Affordable Housing	
Homeownership	
Fair Housing	
Economic Development	1
Public Service Programs	1
Crime Prevention	1
Housing: Special Needs Populations	
Housing Opportunities for Persons with HIV/AIDS	
Housing & Non-Housing Needs of Homeless Persons	
Neighborhood Revitalization	1
Public Facilities / Infrastructure Needs	1
Other	

**SUMMARY OF COMMENTS:**

- Economic Development in South Phoenix Village – the need for new commercial buildings to house the growing number of non-profit & for-profit small businesses emerging from SPV.

# Grantee Unique Appendices

Grantee Unique Appendices

2018-19 ANNUAL ACTION PLAN BUDGET

1. Community Development Block Grant (CDBG)
  2. HOME Investment Partnership Program (HOME)
  3. Housing Opportunities for Persons with AIDS (HOPWA)
  4. Emergency Solutions Grant Program (ESG)
- Budget is broken down into grant categories

	CDBG	HOME	HOPWA	ESG	Total
<b>Neighborhood Revitalization Owner-Occupied Housing Programs</b>					
Housing Rehabilitation Program /Operations	1,840,000				1,840,000
Owner-Occupied Housing Rehabilitation/Reconstruction	2,325,585	700,000			3,025,585
Hardship Assistance	355,967				355,967
Housing Development/Infill	375,000				375,000
Down-Payment Assistance	100,000				100,000
Strategic Property Acquisition	125,000				125,000
<b>Rental Housing Programs</b>					
Homeless Prevention/Rental Assistance	30,000				30,000
Rental Housing Rehabilitation	-				-
<b>Neighborhood Economic Development</b>					
Neighborhood Economic Development Project Delivery	270,000				270,000
Neighborhood Commercial Development	1,000				1,000
<b>Neighborhood Coordination</b>					
Slum and Blight Acquisition	125,000				125,000
Demolition	350,000				350,000
Graffiti Removal	800,000				800,000
Code Enforcement	850,000				850,000
CDBG Project Delivery	394,720				394,720
Environmental Labor Standards Implementation	402,989				402,989
Neighborhood Engagement Coordination	280,000				280,000
Preservation - Abatement Coordination	550,000				550,000
Westwood Crime Prevention	12,500				12,500
<b>Neighborhood Revitalization - Open Applications</b>					
Neighborhood Revitalization Housing Rehabilitation Program	111,373				111,373
Infill Housing	200,000				200,000
Neighborhood Enhancement Program	400,000				400,000
Neighborhood Infrastructure Fund - Open Application	443,092				443,092
<b>SUB TOTAL</b>	<b>10,342,236</b>	<b>700,000</b>	<b>-</b>	<b>-</b>	<b>11,042,236</b>
Public Services RFP	239,524				239,524
Public Facilities and Improvement RFP	427,627				427,627
<b>SUB TOTAL</b>	<b>667,151</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>667,151</b>
<b>Other Programs</b>					
Housing Counseling, Landlord/Tenant Education and Mediation	180,000				180,000
Housing Counseling RFP	20,000				20,000
<b>SUB TOTAL</b>	<b>200,000</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>200,000</b>
<b>Economic Development</b>					
Economic Development Operations	120,000				120,000
EXPAND Loan Pool	-				-
Management Technical Assistance	-				-
Economic Development - Open Application	1,000				1,000
<b>SUB TOTAL</b>	<b>121,000</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>121,000</b>

1. Community Development Block Grant (CDBG)
  2. HOME Investment Partnership Program (HOME)
  3. Housing Opportunities for Persons with AIDS (HOPWA)
  4. Emergency Solutions Grant Program (ESG)
- Budget is broken down into grant categories

	CDBG	HOME	HOPWA	ESG	Total
<b>Housing Department</b>					
Housing Development Programs - Open Applications	1,000				1,000
Housing Development Operations	220,000				220,000
<b>HOME Programs</b>					
Multi-Family Rental Programs		3,143,574			3,143,574
Community Housing Development Organization Set-Aside		880,079			880,079
Special Projects		556,824			556,824
Family Self Sufficiency	455,000				455,000
<b>HOPWA Programs</b>					
Emergency Assistance Program			97,850		97,850
HOPWA Rental Assistance Program			1,194,325		1,194,325
HIV/AIDS Supportive Housing Program			682,770		682,770
Housing Information Services and Ombudsman			147,000		147,000
Permanent Housing Placement			42,150		42,150
Employment Services			103,284		103,284
<b>SUB TOTAL</b>	<b>676,000</b>	<b>4,580,477</b>	<b>2,267,379</b>	<b>-</b>	<b>7,023,856</b>
<b>Homeless Assistance</b>					
Emergency Shelter Services				580,658	580,658
Rapid Re-Housing Services (HRRP)				272,315	272,315
Eviction Prevention				385,409	385,409
Homeless Navigators	325,000				325,000
HHS				10,000	10,000
<b>Human Services Core Programs</b>					
Walkers Emergency Shelter	394,000				394,000
Homeless Block	152,000				152,000
HSD Homeless Project	125,000				125,000
Phoenix Starfish Place	150,000				150,000
<b>SUB TOTAL</b>	<b>1,146,000</b>	<b>-</b>	<b>-</b>	<b>1,248,382</b>	<b>2,119,382</b>
<b>Administration</b>					
Program Management Coordination and Support	3,250,436	596,719	111,362	75,000	4,023,517
<b>SUB TOTAL</b>	<b>3,250,436</b>	<b>596,719</b>	<b>111,362</b>	<b>75,000</b>	<b>4,023,517</b>
<b>TOTAL</b>	<b>16,402,823</b>	<b>5,867,196</b>	<b>2,378,741</b>	<b>1,323,382</b>	<b>25,697,142</b>




Grantee SF-424's and Certification(s)

OMB Number: 4040-0004  
 Expiration Date: 12/31/2018

Application for Federal Assistance SF-424		
*1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		
*2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision		
* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>		
*3. Date Received: 06/20/2018		4. Applicant Identifier: <input type="text"/>
5a. Federal Entity Identifier: <input type="text"/>		5b. Federal Award Identifier: <input type="text"/>
State Use Only: 6. Date Received by State: <input type="text"/> 7. State Application Identifier: <input type="text"/>		
<b>8. APPLICANT INFORMATION:</b>		
*a. Legal Name: <input type="text" value="City of Phoenix"/>		
*b. Employer/Taxpayer Identification Number (EIN/TIN): 95-8070255		*c. Organizational DUNS: 1376802930000
d. Address:		
* Street: <input type="text" value="200 West Washington Street, 4th Floor"/>		
Street2: <input type="text"/>		
* City: <input type="text" value="Phoenix"/>		
County/Parish: <input type="text"/>		
* State: <input type="text" value="AZ: Arizona"/>		
Province: <input type="text"/>		
* Country: <input type="text" value="USA: UNITED STATES"/>		
* Zip / Postal Code: <input type="text" value="85003-1811"/>		
e. Organizational Unit:		
Department Name: <input type="text"/>		Division Name: <input type="text"/>
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: <input type="text" value="Mr."/>	* First Name: <input type="text" value="Chris"/>	
Middle Name: <input type="text"/>		
* Last Name: <input type="text" value="Hallett"/>		
Suffix: <input type="text"/>		
Title: <input type="text" value="Director, Neighborhood Services Department"/>		
Organizational Affiliation: <input type="text"/>		
* Telephone Number: <input type="text" value="602-534-6196"/>		Fax Number: <input type="text"/>
* Email: <input type="text" value="chris.hallett@phoenix.gov"/>		

Application for Federal Assistance SF-424	
<p>* 8. Type of Applicant 1: Select Applicant Type:</p> <input type="text" value="City or Township Government"/> <p>Type of Applicant 2: Select Applicant Type:</p> <input type="text"/> <p>Type of Applicant 3: Select Applicant Type:</p> <input type="text"/> <p>Other (specify):</p> <input type="text"/>	
<p>* 10. Name of Federal Agency:</p> <input type="text" value="Department of Housing and Urban Development"/>	
<p>11. Catalog of Federal Domestic Assistance Number:</p> <input type="text" value="14.218"/> <p>CFDA Title:</p> <input type="text" value="Community Development Block Grant"/>	
<p>* 12. Funding Opportunity Number:</p> <input type="text"/> <p>Title:</p> <input type="text"/>	
<p>13. Competition Identification Number:</p> <input type="text"/> <p>Title:</p> <input type="text"/>	
<p>14. Areas Affected by Project (Cities, Counties, States, etc.):</p> <input type="text"/> <p style="text-align: right;"> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="New Attachment"/> </p>	
<p>* 15. Descriptive Title of Applicant's Project:</p> <input type="text" value="City of Phoenix, 2018-19 Community Development Block Grant Application"/>	
<p>Attach supporting documents as specified in agency instructions.</p> <p style="text-align: right;"> <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="New Attachments"/> </p>	

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant	82-002
* b. Program/Project	
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
17. Proposed Project:	
* a. Start Date:	07/01/2018
* b. End Date:	06/30/2019
18. Estimated Funding (\$):	
* a. Federal	16,402,823.00
* b. Applicant	
* c. State	
* d. Local	
* e. Other	21,073,987.00
* f. Program Income	506,080.00
* g. TOTAL	38,976,810.00
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/>	
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.	
<input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," provide explanation and attach	
<input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
* 21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001)	
<input checked="" type="checkbox"/> ** I AGREE	
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix:	Mr.
* First Name:	Chris
Middle Name:	
* Last Name:	Halett
Suffix:	
* Title:	Director, Neighborhood Services Department
* Telephone Number:	502-534-6176
Fax Number:	
* Email:	chris.halett@koha.net.gov
* Signature of Authorized Representative:	
* Date Signed:	6-14-18



ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0008  
Expiration Date: 01/31/2018

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:


1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§472b-4753) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of GPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4901 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1688), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§260 dd-3 and 260 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 16 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11980; (d) evaluation of flood hazards in floodplains in accordance with EO 11989; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub recipient from: (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Director, Neighborhood Services Department
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Phoenix, Neighborhood Services Department	10-14-17

SF-424D (Rev. 7-97) Back

**CERTIFICATIONS**

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing** --The jurisdiction will affirmatively further fair housing.

**Uniform Relocation Act and Anti-displacement and Relocation Plan** -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

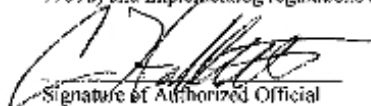
**Anti-Lobbying** --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

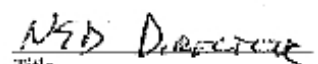
**Authority of Jurisdiction** --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701b) and implementing regulations at 24 CFR Part 135.

  
Signature of Authorized Official

6.26.18  
Date

  
Title

### Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

**Following a Plan** -- It is following a current consolidated plan that has been approved by HUD.

**Use of Funds** -- It has complied with the following criteria:

**1. Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

**2. Overall Benefit.** The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) 2018 [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

**3. Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

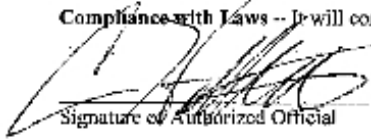
**Excessive Force** -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

**Compliance with Anti-discrimination laws** -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

**Lead-Based Paint** -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

**Compliance with Laws** -- It will comply with applicable laws.



Signature of Authorized Official

6.26.18


Date

1090 Director

Title

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Presapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application.	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* 3. Revision, select appropriate letter(s): _____ * Other (Specify): _____
* 2. Date Received: _____	4. Applicant Identifier: _____	
5a. Federal Entity Identifier: _____	5b. Federal Award Identifier: _____	
State Use Only:		
6. Date Received by State: _____	7. State Application Identifier: _____	
<b>B. APPLICANT INFORMATION:</b>		
* a. Legal Name: City of Phoenix		
* b. Employer/Taxpayer Identification Number (EIN/TIN): 99-6000259	* c. Organizational DUNS: 19628306	
<b>d. Address:</b>		
* Street1: 201 West Washington Street, 4th Floor	_____	
Street2: _____	_____	
* City: Phoenix	_____	
County/Parish: _____	_____	
* State: AZ: Arizona	_____	
Province: _____	_____	
* Country: USA: UNITED STATES	_____	
* Zip / Postal Code: 05003	_____	
<b>e. Organizational Unit:</b>		
Department Name: Housing Department	Division Name: _____	
<b>f. Name and contact information of person to be contacted on matters involving this application:</b>		
Prefix: Ms.	* First Name: Cindy	
Middle Name: _____	_____	
* Last Name: Stobler	_____	
Suffix: _____	_____	
Title: Director, Housing Department		
Organizational Affiliation: _____		
* Telephone Number: 602-262-9324	Fax Number: _____	
* Email: cindy.stobler@phoenix.gov		

Application for Federal Assistance SF-424	
* 9. Type of Applicant 1: Select Applicant Type: <input type="text" value="C: City or Township Government"/>	
Type of Applicant 2: Select Applicant Type: <input type="text"/>	
Type of Applicant 3: Select Applicant Type: <input type="text"/>	
* Other (specify): <input type="text"/>	
* 10. Name of Federal Agency: <input type="text" value="Department of Housing and Urban Development"/>	
11. Catalog of Federal Domestic Assistance Number: <input type="text" value="14.215"/> CFDA Title: <input type="text" value="RDM Investment Partnership Grant"/>	
* 12. Funding Opportunity Number: <input type="text"/>	
* Title: <input type="text" value="City of Phoenix, 2017-18 RDM Investment Partnership Grant application"/>	
13. Competition Identification Number: <input type="text"/> Title: <input type="text"/>	
14. Areas Affected by Project (Cities, Counties, States, etc.): <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="Cancel Attachment"/>	
* 16. Descriptive Title of Applicant's Project: <input type="text" value="City of Phoenix, 2017-18 RDM Investment Partnership Grant application"/>	
Attach supporting documents as specified in agency instructions. <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="Cancel Attachments"/>	

Application for Federal Assistance SF-424	
<b>16. Congressional Districts Of:</b>	
* a. Applicant: <input type="text" value="44-332"/>	* b. Program/Project: <input type="text"/>
Attach an additional list of Program/Project Congressional Districts if needed. <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
<b>17. Proposed Project:</b>	
* a. Start Date: <input type="text" value="07/31/2017"/>	* b. End Date: <input type="text" value="06/30/2019"/>
<b>18. Estimated Funding (\$):</b>	
* a. Federal	<input type="text" value="5,867,106.00"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text" value="4,500,000.00"/>
* f. Program Income	<input type="text" value="1,102,393.59"/>
* g. TOTAL	<input type="text" value="11,469,500.59"/>
<b>19. Is Application Subject to Review By State Under Executive Order 12372 Process?</b> <input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/> . <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. <input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
<b>20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes", provide explanation and attach <input type="text"/> <input type="text"/> <input type="text"/>	
<b>21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001)</b> <input checked="" type="checkbox"/> ** I AGREE <small>** The list of certifications and assurances, or an Internet site where you may obtain this list, is contained in the announcement or agency specific instructions.</small>	
<b>Authorized Representative:</b>	
Prefix: <input type="text" value="041"/>	* First Name: <input type="text" value="Cindy"/>
Middle Name: <input type="text"/>	
* Last Name: <input type="text" value="Stotler"/>	
Suffix: <input type="text"/>	
* Title: <input type="text" value="Director, Housing Department"/>	
* Telephone Number: <input type="text" value="503-263-4324"/>	Fax Number: <input type="text"/>
* Email: <input type="text" value="cindy.stotler@phoenix.gov"/>	
* Signature of Authorized Representative: 	* Date Signed: <input type="text" value="6/25/18"/>



ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0008  
Expiration Date: 01/31/2015

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As the duly authorized representative of the applicant, I certify that the applicant:

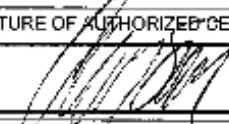
1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
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Prescribed by OMB Circular A-102

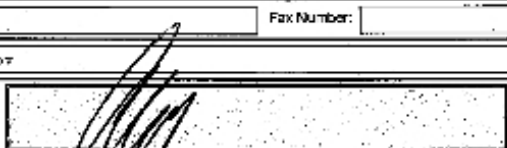
11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-645) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1461 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§466a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Director, Housing Department
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Phoenix	6/25/18

SF-424D (Rev. 7-97) Back

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>
* 3. Date Received: <input type="text"/>	4. Applicant Identifier: <input type="text"/>	
5a. Federal Entity Identifier: <input type="text"/>	5b. Federal Award Identifier: <input type="text"/>	
State Use Only:		
6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>	
<b>B. APPLICANT INFORMATION:</b>		
* a. Legal Name: <input type="text" value="City of Phoenix"/>		
* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="86-600236"/>	* c. Organizational DUNS: <input type="text" value="18629336"/>	
d. Address:		
* Street 1: <input type="text" value="251 West Washington Street, 4th Floor"/>	Street 2: <input type="text"/>	
* City: <input type="text" value="Phoenix"/>	County/Parish: <input type="text"/>	
* State: <input type="text" value="AZ; Arizona"/>	Province: <input type="text"/>	
* Country: <input type="text" value="USA; UNITED STATES"/>	* Zip/Postal Code: <input type="text" value="85003"/>	
e. Organizational Unit:		
Department Name: <input type="text" value="Housing Department"/>	Division Name: <input type="text"/>	
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: <input type="text" value="Ms."/>	* First Name: <input type="text" value="Cindy"/>	
Middle Name: <input type="text"/>	* Last Name: <input type="text" value="Stotler"/>	
Suffix: <input type="text"/>	Title: <input type="text" value="Director, Housing Department"/>	
Organizational Affiliation: <input type="text"/>		
* Telephone Number: <input type="text" value="602-262-4024"/>	Fax Number: <input type="text"/>	
* Email: <input type="text" value="cindy.stotler@phoenix.gov"/>		

Application for Federal Assistance SF-424	
<b>* 9. Type of Applicant 1: Select Applicant Type:</b> <input type="text" value="City or Township Government"/>	
<b>Type of Applicant 2: Select Applicant Type:</b> <input type="text"/>	
<b>Type of Applicant 3: Select Applicant Type:</b> <input type="text"/>	
<b>* Other (specify):</b> <input type="text"/>	
<b>* 10. Name of Federal Agency:</b> <input type="text" value="Department of Housing and Urban Development"/>	
<b>11. Catalog of Federal Domestic Assistance Number:</b> <input type="text" value="14.201"/>	
<b>CFDA Title:</b> <input type="text" value="Housing Opportunities for Persons With AIDS (HOPWA) Grants"/>	
<b>* 12. Funding Opportunity Number:</b> <input type="text"/>	
<b>* Title:</b> <input type="text" value="City of Phoenix, 2018-19 Housing Opportunities for Persons With AIDS (HOPWA) Grant application"/>	
<b>13. Competition Identification Number:</b> <input type="text"/>	
<b>Title:</b> <input type="text"/>	
<b>14. Areas Affected by Project (Cities, Counties, States, etc.):</b> <input type="text"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
<b>* 15. Descriptive Title of Applicant's Project:</b> <input type="text" value="City of Phoenix, 2018-19 Housing Opportunities for Persons With AIDS (HOPWA) Grant application"/>	
Attach supporting documents as specified in agency instructions. <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	

Application for Federal Assistance SF-424	
<b>16. Congressional Districts Of:</b>	
* a. Applicant: <input type="text" value="aw-002"/>	* b. Program/Project: <input type="text"/>
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
<b>17. Proposed Project:</b>	
* a. Start Date: <input type="text" value="07/01/2018"/>	* b. End Date: <input type="text" value="06/30/2019"/>
<b>18. Estimated Funding (\$):</b>	
* a. Federal	<input type="text" value="2,378,741.00"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text"/>
* g. TOTAL:	<input type="text" value="2,378,741.00"/>
<b>* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?</b>	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on: <input type="text"/>	
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.	
<input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
<b>* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)</b>	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes", provide explanation and attach:	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
<b>21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)</b>	
<input checked="" type="checkbox"/> ** I AGREE	
<small>** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.</small>	
<b>Authorized Representative:</b>	
Prefix: <input type="text" value="Ms."/>	* First Name: <input type="text" value="Claire"/>
Middle Name: <input type="text"/>	
* Last Name: <input type="text" value="Stodler"/>	
Suffix: <input type="text"/>	
* Title: <input type="text" value="Director, Housing Department"/>	
* Telephone Number: <input type="text" value="602-262-4923"/>	Fax Number: <input type="text"/>
* Email: <input type="text" value="claire.stodler@spokane.gov"/>	
* Signature of Authorized Representative: 	* Date Signed: <input type="text" value="06/18/18"/>

**CERTIFICATIONS**

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing** --The jurisdiction will affirmatively further fair housing.

**Uniform Relocation Act and Anti-displacement and Relocation Plan** -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

**Anti-Lobbying** --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-L.L.L., "Disclosure Form to Report Lobbying," in accordance with its instructions; and

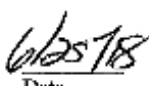
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

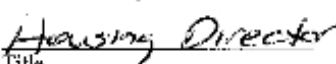
**Authority of Jurisdiction** --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 135.

  
\_\_\_\_\_  
Signature of Authorized Official

  
\_\_\_\_\_  
Date

  
\_\_\_\_\_  
Title

**Housing Opportunities for Persons With AIDS Certifications**

The HOPWA grantee certifies that:

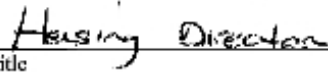
**Activities** -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

**Building** -- Any building or structure assisted under that program shall be operated for the purpose specified in the consolidated plan:

1. For a period of not less than 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For a period of not less than 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

  
\_\_\_\_\_  
Signature of Authorized Official

  
\_\_\_\_\_  
Date

  
\_\_\_\_\_  
Title

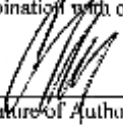
**Specific HOME Certifications**

The HOME participating jurisdiction certifies that:

**Tenant Based Rental Assistance** -- If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

**Eligible Activities and Costs** -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

**Subsidy layering** -- Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing.

  
\_\_\_\_\_  
Signature of Authorized Official

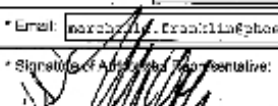
6/25/18  
\_\_\_\_\_  
Date

Housing Director  
\_\_\_\_\_  
Title



Application for Federal Assistance SF-424		
*1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	*2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letters) <input type="text"/> * Other (Specify): <input type="text"/>
*3. Date Received: <input type="text"/>	4. Applicant Identifier: <input type="text"/>	
5a. Federal Entity Identifier: <input checked="" type="checkbox"/>	5b. Federal Award Identifier: <input checked="" type="checkbox"/>	
State Use Only:		
6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>	
<b>B. APPLICANT INFORMATION:</b>		
* a. Legal Name: <input type="text" value="City of Phoenix"/>		
* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="EC-6000256"/>	* c. Organizational DUNS: <input type="text" value="10629305"/>	
d. Address:		
* Street1: <input type="text" value="200 West Washington Street, 19th Floor"/>	Street2: <input type="text"/>	
* City: <input type="text" value="Phoenix"/>	County/Parish: <input type="text" value="Maricopa"/>	
* State: <input type="text" value="AZ: Arizona"/>	Province: <input type="text"/>	
* Country: <input type="text" value="USA: UNITED STATES"/>	* Zip / Postal Code: <input type="text" value="85003"/>	
e. Organizational Unit:		
Department Name: <input type="text" value="Human Services Department"/>	Division Name: <input type="text" value="Homeless Services"/>	
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: <input type="text" value="Ms."/>	* First Name: <input type="text" value="Nicholle"/>	Middle Name: <input type="text" value="E."/>
* Last Name: <input type="text" value="Franklin"/>	Suffix: <input type="text"/>	
Title: <input type="text" value="Director, Human Services Department"/>		
Organizational Affiliation: <input type="text"/>		
* Telephone Number: <input type="text" value="503-263-6563"/>	Fax Number: <input type="text"/>	
* Email: <input type="text" value="nicholle.franklin@phoenix.gov"/>		

Application for Federal Assistance SF-424	
* 9. Type of Applicant 1: Select Applicant Type:	
<input type="text" value="City or Township Government"/>	
Type of Applicant 2: Select Applicant Type:	
<input type="text"/>	
Type of Applicant 3: Select Applicant Type:	
<input type="text"/>	
* Other (specify):	
<input type="text"/>	
* 10. Name of Federal Agency:	
<input type="text" value="Department of Housing and Urban Development"/>	
11. Catalog of Federal Domestic Assistance Number:	
<input type="text" value="14.231"/>	
CFDA Title:	
<input type="text" value="Emergency Shelter Grant"/>	
* 12. Funding Opportunity Number:	
<input type="text"/>	
* Title:	
<input type="text" value="City of Phoenix, 2018-19 Emergency Shelter Grant application"/>	
13. Competition Identification Number:	
<input type="text"/>	
Title:	
<input type="text"/>	
14. Areas Affected by Project (Cities, Counties, States, etc.):	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
* 15. Descriptive Title of Applicant's Project:	
<input type="text" value="City of Phoenix, 2018-19 Emergency Shelter Grant application"/>	
Attach supporting documents as specified in agency instructions.	
<input type="button" value="Add Attachments"/>	<input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant: <input type="text" value="A-003"/>	* b. Program/Project: <input type="text"/>
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
17. Proposed Project:	
* a. Start Date: <input type="text" value="07/01/2018"/>	* b. End Date: <input type="text" value="06/30/2019"/>
18. Estimated Funding (\$):	
* a. Federal	<input type="text" value="1,525,382.00"/>
* b. Applicant	<input type="text" value="1,320,302.00"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text"/>
* g. TOTAL	<input type="text" value="2,845,700.00"/>
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/>	
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.	
<input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes", provide explanation and attach	
<input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
21. "By signing this application, I certify (1) to the statements contained in the list of certifications" and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances" and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001)	
<input checked="" type="checkbox"/> ** I AGREE	
* The list of certifications and assurances, or an internet site where you may obtain the list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix: <input type="text" value="SS"/>	* First Name: <input type="text" value="Katharine"/>
Middle Name: <input type="text" value="E"/>	
* Last Name: <input type="text" value="Franklin"/>	
Suffix: <input type="text"/>	
* Title: <input type="text" value="Director, Human Services Department"/>	
* Telephone Number: <input type="text" value="602-262-6668"/>	Fax Number: <input type="text"/>
* Email: <input type="text" value="katharine.franklin@phoenix.gov"/>	
* Signature of Authorized Representative: 	* Date Signed: <input type="text" value="6-7-18"/>

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0008  
Expiration Date: 01/31/2018

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0548-0042), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:


1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4729-4763) relating to prescribed standards of merit systems for programs funded under one of the 18 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4901 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

Previous Edition Usable

Authorized for Local Reproduction

Standard Form 424D (Rev. 7-97)  
Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 278a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1965, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11583 (identification and protection of historic properties) and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469e-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 108(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Director, Econ. Services Department
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Phoenix	

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## **Emergency Solutions Grants Certifications**

The Emergency Solutions Grants Program recipient certifies that:

**Major rehabilitation/conversion/renovation** – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation.

If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion.

In all other cases where ESG funds are used for renovation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

**Essential Services and Operating Costs** – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the recipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the recipient serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

**Renovation** – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

**Supportive Services** – The recipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for these individuals.

**Matching Funds** – The recipient will obtain matching amounts required under 24 CFR 576.201.

**Confidentiality** – The recipient has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.


**Homeless Persons Involvement** – To the maximum extent practicable, the recipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

**Consolidated Plan** – All activities the recipient undertakes with assistance under ESG are consistent with its consolidated plan.

**Discharge Policy** – The recipient will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

  
\_\_\_\_\_  
Signature of Authorized Official

6-7-18  
Date

 Director, Human Services Department  
Title