

**CITY OF PHOENIX  
CITY AUDITOR DEPARTMENT  
FY 2009/10 AUDIT PLAN**

<b>CATEGORY</b>	<b>PROJECT TITLE</b>
<b>Accounting &amp; Reporting</b>	ADA Compliance (EOD) Airport Improvement Grant Program Annual Financial Report Review (Finance) Continuous Auditing of Miscellaneous Transactions* Continuous Auditing of Monthly Financial Report (Finance) Court Award Funds Annual Certification (Police) Federal Single Audit* Financial Statement Audit (Finance) Local Transportation Funding Certificate (Public Transit) Retirement System Financial Statement Undercover Operations (Police)
<b>Assets &amp; Liabilities</b>	Accounts Receivable (Aviation) Asset Management (Police) Cash Handling (2 Departments) Cash Handling and Accounts Receivable (Fire) Cash Handling and Ticket Sales (PCCD) Continuous Auditing of Cash* Continuous Auditing of Missing and Stolen Property* Controlled Substance Inventory Monitoring (Fire) Facilities and Services Supplies Inventory (Aviation) Farebox/TVM Data Analysis and Cash Handling Phase 1 & 2 Firearm Inventory/Control Process (Police) Housing Accounts Receivables /Notes Motor Vehicle Usage Review (Public Transit) Year End Inventory (Finance)
<b>CIP</b>	CIP Contract Compliance Review (EAS & Water) Construction Audit (Aviation) Follow-up on Prior Years Audit Recommendations (EAS) Phoenix Sky Train Expenditures
<b>Expenditures</b>	13th Check (Retirement) American Recovery and Reinvestment Act Risk Assessment* Citywide Requirements Contracts* CNRP - Sound Mitigation (Aviation) Continuous Auditing of Aviation Continuous Auditing of Expenditures *

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CATEGORY	PROJECT TITLE
	Continuous Auditing of Payroll*
	Continuous Auditing of Retirement
	Contract Audit - 3 Vendors (Aviation)
	Contract Change Orders (Streets)
	COPERS Annual Review and Documentation
	External Legal Costs (Law)
	General Contractual Expenditures (2 Departments)
	Insurance Benefit Eligibility Audit (Personnel)
	LP Steel Fencing Contract Review*
	METRO - Kinkisharo Contract Compliance Review
	METRO- ACI Contract Compliance
	Neighborhood Stabilization Program (NSD)
	Payroll Expenditure Review (3 Departments)
	Payroll Processing (Aviation)
	Pension Equalization Program
	Police Block Watch Grants
	PSPRS Annual Review and Documentation (Retirement)
	Real Estate Acquisition and Relocation Contracts (Aviation)
	Retirement Systems Testing
	RPTA Contract Compliance and Monitoring Review
	Technology Procurement - State Contract*
<b>Fees</b>	
	Airlines Rates & Charges
	Annual User Fee Review*
	Cellphone Usage Reimbursement Rate Update*
	Cost for Public Records Requests (Fire)
	License Fee Cost Review - Phase 1 (Clerk)
	Parks Concession Contracts
	RCC O&M Settlement (Aviation)
<b>Information Systems</b>	
	Access Management (ITD)
	Application Audits & Risk Assessments*
	CHRIS Application - Payroll Processing (Finance)
	CHRIS Security - Post Implementation (Personnel)
	City Network Security & Control*
	Distributed IT Infrastructure Audits & Risk Assessments*
	DRP - Technology Risk Assessment*
	eRecords Management (Clerk)
	IT Governance*
	Lotus Notes Email System Audit (Clerk)
	Modem Usage Compliance*
	PACE Application Audit (Police)

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CATEGORY	PROJECT TITLE
	PCI Compliance Validation* Police CAD Replacement Privacy - IMP Assessments* SAP Security (Finance) Software Management Compliance* TALIS Security - Post Implementation (Finance) Technology Controls (Aviation) WCIS Replacement - Implementation (Water)
<b>Management Systems</b>	Budget Risk Assessment (Phase II)* Contract Monitoring Process Improvement Team (Aviation) EXPAND - Collateral Enhancement Program (CEDD) Federal Stimulus Expenditure Monitoring/Reporting Process Review (Aviation) Light Rail Traffic Signal Controls (Streets) Police Internet Administration Review Privacy - IMP Assessment (Aviation) Review of Rates & Charges Budgeting Process (Aviation) Vehicle Warranty Program Review (Public Works) Water User Billing Risk Assessment
<b>Performance Measurement</b>	City Manager's Performance Report Continuous Auditing of City Manager's Performance Report -Validation Performance Metrics - Facilities & Services (Aviation)
<b>Revenue</b>	Commercial Use Permit - Accufleet Commercial Use Permit - Aircraft Service Intl Group Commercial Use Permit - Gate Gourment Inc Downtown Hotel Operations Audit (PCCD) Fiber Optic ROW Fees and Licenses (EAS) Fixed Base Operators - Cutter Parking - Surprise Cash Audit #1 & #2 (Aviation) Parking Management Contracts* Parking Meter Revenue Collection (Finance) Parking Revenue Control Review (Aviation) Privileged License Tax Receivables (Finance) Police Grant Administration Review Rental Car Contract - 2 Vendors (Aviation) Revenue Contract Review - 2 Vendors (PCCD) T-3 Food Concessions T-4 Retail Concessions

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<b>CATEGORY</b>	<b>PROJECT TITLE</b>
<b>Special Projects</b>	Accuracy Certification Board - 9/2009 Election (Clerk) Airport Certification Review - FAA Part 139 Business Cycle Analysis (PCCD) Business Cycle Analysis Phase I (Parks) Environmental Regulations Review (Aviation) Water Lab Accreditation

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